## I. OVERVIEW OF THE FUNDING OPPORTUNITY

**Program Announcement for the Department of Defense** 

**Defense Health Program** 

**Congressionally Directed Medical Research Programs** 

# Peer Reviewed Medical Research Program

# **Investigator-Initiated Research Award**

**Announcement Type: Initial** 

Funding Opportunity Number: W81XWH18PRMRPIIRA

Catalog of Federal Domestic Assistance Number: 12.420 Military Medical Research and Development

#### SUBMISSION AND REVIEW DATES AND TIMES

• Pre-Application Submission Deadline: 5:00 p.m. Eastern time (ET), June 12, 2018

• **Invitation to Submit an Application:** July 2018

• Application Submission Deadline: 11:59 p.m. ET, September 20, 2018

• End of Application Verification Period: 5:00 p.m. ET, September 24, 2018

• **Peer Review:** November 2018

Programmatic Review: January 2019

This Program Announcement must be read in conjunction with the General Application Instructions, version 20180329. The General Applications Instructions document is available for downloading from the Grants.gov funding opportunity announcement by selecting the "Package" tab, clicking "Preview," and then selecting "Download Instructions."

## TABLE OF CONTENTS

I.	OVERVIEW OF THE FUNDING OPPORTUNITY	1
II.	DETAILED INFORMATION ABOUT THE FUNDING OPPORTUNITY	3
	II.A. Program Description	3
	II.A.1. FY18 PRMRP Topic Areas	3
	II.B. Award Information	5
	II.C. Eligibility Information	9
	II.C.1. Eligible Applicants	9
	II.C.2. Cost Sharing	10
	II.C.3. Other	10
	II.D. Application and Submission Information.	10
	II.D.1. Address to Request Application Package	11
	II.D.2. Content and Form of the Application Submission	11
	II.D.3. Dun and Bradstreet Data Universal Numbering System (DUNS) Number and System for Award Management (SAM)	29
	II.D.4. Submission Dates and Times	29
	II.D.5. Funding Restrictions	30
	II.D.6. Other Submission Requirements	32
	II.E. Application Review Information	32
	II.E.1. Criteria	32
	II.E.2. Application Review and Selection Process	34
	II.E.3. Integrity and Performance Information	35
	II.E.4. Anticipated Announcement and Federal Award Dates	35
	II.F. Federal Award Administration Information	35
	II.F.1. Federal Award Notices	35
	II.F.2. Administrative and National Policy Requirements	36
	II.F.3. Reporting	
	II.G. Federal Awarding Agency Contacts	
	II.G.1. CDMRP Help Desk	37
	II.G.2. Grants.gov Contact Center	
	II.H. Other Information	
	II.H.1. Program Announcement and General Application Instructions Versions	
	II.H.2. Administrative Actions	
	II.H.3. Application Submission Checklist	
	PPENDIX 1: ACRONYM LIST	
	PPENDIX 2: AREAS OF ENCOURAGEMENT	
AF	PPENDIX 3: DOD AND VA WEBSITES	61

# II. DETAILED INFORMATION ABOUT THE FUNDING OPPORTUNITY

New for 2018: Application submission by extramural organizations through Grants.gov requires use of the Workspace interface, which separates the application package into individual forms. Applicants must create a Workspace in Grants.gov, complete the required forms, and submit their application Workspace package.

## **II.A. Program Description**

Applications to the Fiscal Year 2018 (FY18) Peer Reviewed Medical Research Program (PRMRP) are being solicited for the Defense Health Agency (DHA) J9, Research and Development Directorate, by the U.S. Army Medical Research Acquisition Activity (USAMRAA) using delegated authority provided by United States Code, Title 10, Section 2358 (10 USC 2358). As directed by the Office of the Assistant Secretary of Defense for Health Affairs (OASD[HA]), the DHA manages the Defense Health Program (DHP) Research, Development, Test, and Evaluation (RDT&E) appropriation. The execution management agent for this Program Announcement is the Congressionally Directed Medical Research Programs (CDMRP). The PRMRP was initiated in 1999 to provide support for military health-related research of exceptional scientific merit. Appropriations for the PRMRP from FY99 through FY17 totaled \$1.7 billion. The FY18 appropriation is \$330 million (M).

The vision of the FY18 PRMRP is to improve the health and well-being of all military Service members, Veterans, and beneficiaries. The PRMRP challenges the scientific and clinical communities to address at least one of the FY18 PRMRP Topic Areas with original ideas that foster new directions along the entire spectrum of research and clinical care. The program seeks applications in laboratory, clinical, behavioral, epidemiologic, and other areas of research to advance knowledge in disease etiology, improve prevention, detection, diagnosis, treatment, and quality of life for those affected by a relevant disease or condition, and to develop and validate clinical care or public health guidelines.

### II.A.1. FY18 PRMRP Topic Areas

All applications for PRMRP funding must specifically address at least one of the Topic Areas as directed by Congress and must be directly relevant to the healthcare needs of military Service members, Veterans, and/or beneficiaries. If the proposed research does not specifically address at least one of the FY18 PRMRP Topic Areas, the Government will administratively withdraw the application. The Government reserves the right to reassign the application's Topic Area if submitted under an inappropriate Topic Area. The FY18 PRMRP Topic Areas are listed below.

- Acute Lung Injury
- Antimicrobial Resistance
- Arthritis

- Burn Pit Exposure
- Cardiomyopathy
- Cerebellar Ataxia

- Chronic Migraine and Post-Traumatic Headache
- Chronic Pain Management
- Congenital Heart Disease
- Constrictive Bronchiolitis
- Diabetes
- Dystonia
- Eating Disorders
- Emerging Infectious Diseases
- Endometriosis
- Epidermolysis Bullosa
- Focal Segmental Glomerulosclerosis
- Fragile X
- Frontotemporal Degeneration
- Guillain-Barré Syndrome
- Hepatitis B and C
- Hereditary Angioedema
- Hydrocephalus
- Immunomonitoring of Intestinal Transplants
- Inflammatory Bowel Diseases
- Interstitial Cystitis
- Lung Injury
- Malaria
- Metals Toxicology

- Mitochondrial Disease
- Musculoskeletal Disorders
- Myotonic Dystrophy
- Non-Opioid Pain Management
- Nutrition Optimization
- Pancreatitis
- Pathogen-Inactivated Blood Products
- Post-Traumatic Osteoarthritis
- Pressure Ulcers
- Pulmonary Fibrosis
- Respiratory Health
- Rett Syndrome
- Rheumatoid Arthritis
- Scleroderma
- Sleep Disorders
- Spinal Muscular Atrophy
- Sustained-Release Drug Delivery
- Tinnitus
- Tissue Regeneration
- Tuberculosis
- Vaccine Development for Infectious Diseases
- Vascular Malformations
- Women's Heart Disease

Research relevant to one or more of the FY18 PRMRP Topic Areas may be considered for funding. Applicants should select the FY18 Program Announcement most appropriate to the stage of the proposed research. Areas of Encouragement related to the FY18 PRMRP Topic Areas have been identified by the Department of Defense (DoD), the Department of Veterans Affairs (VA), and other relevant stakeholders (Appendix 2). Applicants are urged to read and consider these Areas of Encouragement before preparing their applications. The information provided is not exhaustive, and applicants are not restricted to submitting applications that address an Area of Encouragement on this list.

### **II.B.** Award Information

The PRMRP Investigator-Initiated Research Award (IIRA) is intended to support studies that will make an important contribution toward research and/or patient care for a disease or condition related to at least one of the FY18 PRMRP Topic Areas.

The rationale for a research idea may be derived from a laboratory discovery, population-based studies, a clinician's first-hand knowledge of patients, or anecdotal data. Applications must include relevant data that support the rationale for the proposed study. These data may be unpublished or from the published literature.

**Impact:** The Investigator-Initiated Research Award is designed to support research with the potential to yield highly impactful data that could lead to critical discoveries or major advancements. It is the responsibility of the Principal Investigator (PI) to clearly articulate the project's potential immediate and long-range outcome(s)/product(s) (knowledge and/or materiel) and how they will impact a central critical problem or question in the field of research and/or patient care in the FY18 PRMRP Topic Area(s) addressed.

Research projects may focus on any phase of research from basic laboratory research through translational research, including preclinical studies in animal models and human subjects, as well as correlative studies associated with an existing clinical trial. Research involving human subjects and human anatomical substances is permitted; *however, this award may not be used to conduct clinical trials*. A clinical trial is defined as a prospective accrual of patients (human subjects) in whom an intervention (e.g., device, drug, biologic, surgical procedure, rehabilitative modality, behavioral intervention, or other) is tested for a measurable outcome with respect to safety, effectiveness, and/or efficacy. This outcome represents a direct effect on the subject of that intervention or interaction. For more information on how to distinguish clinical research from clinical trials, see the Human Subject Resource Document at <a href="https://ebrap.org/eBRAP/public/Program.htm">https://ebrap.org/eBRAP/public/Program.htm</a>. PIs seeking funding for a clinical trial should apply to the FY18 PRMRP Clinical Trial Award mechanism (Funding Opportunity Number: W81XWH18PRMRPCTA).

The anticipated direct costs budgeted for the entire period of performance for a single PI FY18 PRMRP IIRA award will not exceed \$1.2M. The anticipated direct costs budgeted for the entire period of performance for an FY18 PRMRP IIRA award with the Partnering PI Option will not exceed \$1.5M. Refer to Section II.D.5, Funding Restrictions, for detailed funding information.

**Partnering PI Option:** As a method to bring new perspectives in addressing the critical problem or question and facilitate progress in the field through collaborative efforts, the FY18 PRMRP is offering a Partnering PI Option for this award mechanism. The results of this partnering project should significantly advance the research beyond what would be possible through individual efforts. The Partnering PI Option is structured so that two investigators, each of whom will be designated as a PI, work synergistically on a single project. Each PI should bring complementary skills and perspectives to the research project. Developing the research plan should involve a reciprocal flow of ideas and information between the partners. The application should clearly demonstrate that both PIs have equal intellectual input into the design of the project and will devote equivalent levels of effort to the conduct of the project. Each PI must demonstrate that they possess the research experience and resources to function as a PI and must also exhibit an appropriate level of authority and responsibility to direct the project supported by the awards. New and multi-institutional collaborative efforts are strongly encouraged. PIs should include plans for communication between investigators at different organizations, if applicable. Additionally, participating organizations must be willing to resolve potential intellectual and material property issues and to remove any barriers that might interfere with achieving high levels of cooperation to ensure successful completion of the proposed research project. As noted above, collaborations with DoD or VA researchers and clinicians are encouraged.

For the application process, one PI will be identified as the Initiating PI and will be responsible for the majority of the administrative tasks associated with application submission. The other PI will be identified as the Partnering PI. Initiating and Partnering PIs each have different submission requirements as described in <a href="Section II.D.2.b">Section II.D.2.b</a>, Full Application Submission Content. If recommended for funding, each PI will receive their own award. A separate application submission is required for each partner, even if both PIs are at the same organization. Additional collaborators may be included in the application without being designated as PIs.

Applications submitted under the Partnering PI Option are allowed to request a higher direct cost budget than applications submitted with a single PI (see Section II.D.5, Funding Restrictions, for details). Costs should be divided as evenly as possible between both partners unless appropriately justified, but no more than 75% (or no less than 25%) of the proposed direct costs may be requested by a single partner. Submission of a Partnership Statement, Attachment 8, is required for applications submitted under the Partnering PI Option.

The proposed research must be relevant to active duty Service members, Veterans, military beneficiaries, and/or the American public.

**Military Relevance:** Relevance to the healthcare needs of military Service members, Veterans, and beneficiaries is a key feature of this award. Investigators are encouraged to consider the following characteristics as examples of how a project may demonstrate military relevance:

 Explanation of how the project addresses an aspect of the target disease/condition that has direct relevance to military Service members, Veterans, or other Military Health System beneficiaries

- Description of how the knowledge, information, products, or technologies gained from the proposed research could be implemented in a dual-use capacity to benefit the civilian population and also address a military need
- Use of military or Veteran populations or datasets in the proposed research, if appropriate to the proposed research project
- Involvement of military consultants (Army, Air Force) or specialty leaders (Navy, Marine Corps) to the Surgeons General in a relevant specialty area

PIs are encouraged to integrate and/or align their research projects with DoD and/or VA research laboratories and programs. Collaboration with DoD or VA investigators is also encouraged. A list of websites that may be useful in identifying additional information about ongoing DoD and VA areas of research interest or potential opportunities for collaboration within the FY18 PRMRP Topic Areas can be found in <u>Appendix 3</u>.

**Use of DoD or VA Resources:** If the proposed research involves access to active duty military patient populations and/or DoD resources or databases, the PI is responsible for demonstrating such access at the time of application submission and should develop a plan for maintaining access as needed throughout the proposed research. Access to target active duty military patient population(s) and/or DoD resource(s) or database(s) should be confirmed by including a letter of support, signed by the lowest-ranking person with approval authority.

If the proposed research involves access to VA patient populations, VA study resources and databases, and/or VA research space and equipment, VA PIs must have a plan for obtaining and maintaining access throughout the proposed research. Access to VA patients, resources, and/or VA research space should be confirmed by including a letter of support from the VA Facility Director(s) or individual designated by the VA Facility Director(s), such as the Associate Chief of Staff for Research and Development (ACOS/R&D) or Clinical Service Chief. If appropriate, the application should identify the VA-affiliated non-profit corporation (NPC) as the applicant institution for VA PIs. If the VA NPC is not identified as the applicant institution for administering the funds, the application should include a letter from the VA ACOS/R&D confirming this arrangement and identifying the institution that will administer the funds associated with the proposed research.

Access to certain DoD or VA patient populations, resources, or databases may only be obtained by collaboration with a DoD or VA investigator who has a substantial role in the research and may not be available to a non-DoD or non-VA investigator if the resource is restricted to DoD or VA personnel. Investigators should be aware of which resources are available to them if the proposed research involves a non-DoD or non-VA investigator collaborating with the DoD and/or VA. If access cannot be confirmed at the time of application submission, the Government reserves the right to withdraw or revoke funding until the PI has demonstrated support for and access to the relevant population(s) and/or resource(s). Refer to Section II.D.2.b.ii, Full Application Submission Components, for detailed information.

Research Involving Human Anatomical Substances, Human Subjects, or Human Cadavers: All DoD-funded research involving new and ongoing research with human

anatomical substances, human subjects, or human cadavers must be reviewed and approved by the U.S. Army Medical Research and Materiel Command (USAMRMC) Office of Research Protections (ORP), Human Research Protection Office (HRPO), prior to research implementation. This administrative review requirement is in addition to the local Institutional Review Board (IRB) or Ethics Committee (EC) review. Local IRB/EC approval at the time of submission is not required. The HRPO is mandated to comply with specific laws and requirements governing all research involving human anatomical substances, human subjects, or human cadavers that is supported by the DoD. These laws and requirements will necessitate information in addition to that supplied to the IRB/EC. Allow a minimum of 2 to 3 months for HRPO regulatory review and approval processes. When possible, protocols should be written for research with human subjects and/or human anatomical substances that are specific to the DoD-supported effort outlined in the submitted application. Submission to HRPO of protocols covering more than the scope of work in the DoD-funded award will require HRPO review of the entire protocol as DoD-supported research and may include extensive modifications to meet DoD human subjects protection requirements. Refer to the General Application Instructions, Appendix 1, and the Human Subject Resource Document available on the electronic Biomedical Research Application Portal (eBRAP) "Funding Opportunities & Forms" web page (https://ebrap.org/eBRAP/public/Program.htm) for additional information.

A clinical trial is defined as a prospective accrual of patients (human subjects) in whom an intervention (e.g., device, drug, biologic, surgical procedure, rehabilitative modality, behavioral intervention, or other) is tested for a measurable outcome with respect to safety, effectiveness, and/or efficacy. This outcome represents a direct effect on the subject of that intervention or interaction.

**Research Involving Animals:** All projects should adhere to a core set of standards for rigorous study design and reporting to maximize the reproducibility and translational potential of preclinical research. The standards are described in Landis, S.C., et al. A call for transparent reporting to optimize the predictive value of preclinical research. *Nature* 2012, 490:187-191 (<a href="www.nature.com/nature/journal/v490/n7419/full/nature11556.html">www.nature.com/nature/journal/v490/n7419/full/nature11556.html</a>). While these standards are written for preclinical studies, the basic principles of randomization, blinding, sample-size estimation, and data handling derive from well-established best practices in clinical studies. Applicants should consult the ARRIVE (Animal Research: Reporting *In Vivo* Experiments) guidelines to ensure relevant aspects of rigorous animal research are adequately planned for and, ultimately, reported. The ARRIVE guidelines can be found at <a href="http://www.elsevier.com/">http://www.elsevier.com/</a> data/promis misc/622936arrive guidelines.pdf.

All DoD-funded research involving new and ongoing research with animals must be reviewed and approved by the USAMRMC ORP Animal Care and Use Review Office (ACURO), in addition to the local Institutional Animal Care and Use Committee (IACUC) of record. IACUC approval at the time of submission is *not* required. Specific documents relating to the use of animals in the proposed research will be requested **if the application is selected for funding**. The ACURO must review and approve all animal use prior to the start of working with animals, including amendments to ongoing projects. PIs must submit the institutional animal use protocol, IACUC approval of that protocol, and a version of the animal use appendix titled, "Research Involving Animals." *Allow at least 2 to 3 months for ACURO regulatory review and* 

*approval processes for animal studies.* Refer to the General Application Instructions, Appendix 1, for additional information.

The types of awards made under the Program Announcement will be assistance agreements (grants or cooperative agreements). The level of involvement on the part of the DoD during project performance is the key factor in determining whether to award a grant or cooperative agreement.

Extramural Organizations: An assistance agreement (grant or cooperative agreement) is appropriate when the Federal Government transfers a "thing of value" to a "state, local government," or "other recipient" to carry out a public purpose of support or stimulation authorized by a law of the United States, instead of acquiring property or service for the direct benefit and use of the U.S. Government. An assistance agreement can take the form of a grant or cooperative agreement. If "no substantial involvement" on the part of the funding agency is anticipated, a grant award will be made (31 USC 6304). Conversely, if substantial involvement on the part of the funding agency is anticipated, a cooperative agreement will be made (31 USC 6305) and the award will identify the specific substantial involvement. Substantial involvement may include collaboration, participation, or intervention in the research to be performed under the award. The award type, along with the start date, will be determined during the negotiation process.

The CDMRP intends that information, data, and research resources generated under awards funded by this Program Announcement be made available to the research community (which includes both scientific and consumer advocacy communities) and to the public at large. For additional guidance, refer to the General Application Instructions, Appendix 2, Section K.

Awards will be made no later than September 30, 2019. For additional information refer to Section II.F.1, Federal Award Notices.

## **II.C.** Eligibility Information

#### **II.C.1.** Eligible Applicants

II.C.1.a. Organization: All organizations, including international organizations, are eligible to apply.

Government Agencies Within the United States: Local, state, and Federal Government agencies are eligible to the extent that applications do not overlap with their fully funded internal programs. Such agencies are required to explain how their applications do not overlap with their internal programs.

As applications for this Program Announcement may be submitted by extramural and intramural organizations, these terms are defined below.

**Extramural Organization:** An eligible non-DoD organization. Examples of extramural organizations include academic institutions, biotechnology companies, foundations, Government, and research institutes.

**Intramural DoD Organization:** A DoD laboratory, DoD military treatment facility, and/or DoD activity embedded within a civilian medical center.

*Note:* Applications from an intramural DoD organization or from an extramural Federal organization may be submitted through a research foundation.

The USAMRAA makes awards to eligible organizations, not to individuals.

#### **II.C.1.b.** Principal Investigator

- Investigators at or above the level of Assistant Professor (or equivalent) are eligible to submit applications.
  - **Partnering PI Option:** Investigators at or above the level of Assistant Professor (or equivalent) that bring complementary skills and perspectives to the research project.

An eligible PI, regardless of ethnicity, nationality, or citizenship status, must be employed by, or affiliated with, an eligible organization.

The CDMRP encourages all PIs to participate in a digital identifier initiative through Open Researcher and Contributor ID, Inc. (ORCID). Registration for a unique ORCID identifier can be done online at <a href="http://orcid.org/">http://orcid.org/</a>.

#### **II.C.2.** Cost Sharing

Cost sharing/matching is not an eligibility requirement.

#### II.C.3. Other

Organizations must be able to access **.gov** and **.mil** websites in order to fulfill the financial and technical deliverable requirements of the award and submit invoices for payment.

There are no limitations on the number of applications for which an investigator may be named as a PI or Partnering PI.

For general information on required qualifications for award recipients, refer to the General Application Instructions, Appendix 3.

Refer to <u>Section II.H.2</u>, <u>Administrative Actions</u>, for a list of administrative actions that may be taken if a pre-application or application does not meet the administrative, eligibility, or ethical requirements defined in this Program Announcement.

## **II.D.** Application and Submission Information

Submission of applications that are essentially identical or propose essentially the same research project to different funding opportunities within the same program and fiscal year is prohibited and will result in administrative withdrawal of the duplicative application(s). As an exception, applicants may submit the research project described in their Investigator-Initiated

Research Award application as part of an application to the FY18 PRMRP Focused Program Award (Funding Opportunity Number: W81XWH18PRMRPFPA); however, accepting multiple awards to support the same project will not be allowed.

Extramural Submission is defined as an application submitted by an organization to Grants.gov.

*Intramural DoD Submission* is defined as an application submitted by a DoD organization to eBRAP.

## **II.D.1.** Address to Request Application Package

eBRAP is a multifunctional web-based system that allows PIs to submit their pre-applications electronically through a secure connection, to view and edit the content of their pre-applications and full applications, to receive communications from the CDMRP, and to submit documentation during award negotiations and period of performance.

**Extramural Submissions:** Pre-application content and forms must be accessed and submitted at <a href="mailto:eBRAP.org">eBRAP.org</a>. Full application packages must be accessed and submitted at Grants.gov.

*Intramural DoD Submissions:* Pre-application content and forms and full application packages must be accessed and submitted at <u>eBRAP.org</u>.

Contact information for the CDMRP Help Desk and the Grants.gov Contact Center can be found in Section II.G, Federal Awarding Agency Contacts.

## **II.D.2.** Content and Form of the Application Submission

Submission is a two-step process requiring both *pre-application* and *full application* as indicated below. The submission process should be started early to avoid missing deadlines. There are no grace periods.

**Pre-Application Submission:** All pre-applications for both extramural and intramural organizations must be submitted through eBRAP (<a href="https://eBRAP.org/">https://eBRAP.org/</a>).

**Full Application Submission:** Full applications must be submitted through the online portals as described below.

Submitting Extramural Organizations: Full applications from extramural organizations must be submitted through a Grants.gov Workspace. Applications submitted by extramural organizations (e.g., research foundations) on behalf of intramural DoD or other Federal organizations or investigators will be considered extramural submissions. Applications from extramural organizations, including non-DoD Federal organizations, received through eBRAP will be withdrawn. See definitions in Section II.C.1, Eligible Applicants.

*Submitting Intramural DoD Organizations:* Intramural DoD organizations may submit full applications to either eBRAP or Grants.gov. Intramural DoD organizations that are unable to submit to Grants.gov should submit through eBRAP. Intramural DoD organizations with the

capability to submit through Grants.gov may submit following the instructions for extramural submissions through Grants.gov or may submit to eBRAP.

For Both Extramural and Intramural Applicants: A key feature of eBRAP is the ability of an organization's representatives and PIs to view and modify the full application submissions associated with them. eBRAP will validate full application files against the specific Program Announcement requirements, and discrepancies will be noted in an email to the PI and in the "Full Application Files" tab in eBRAP. It is the applicant's responsibility to review all application components for accuracy as well as ensure proper ordering as specified in this Program Announcement.

The application title, eBRAP log number, and all information for the PI, Business Official(s), performing organization, and contracting organization must be consistent throughout the entire pre-application and full application submission process. Inconsistencies may delay application processing and limit or negate the ability to view, modify, and verify the application in eBRAP. If any changes need to be made, the applicant should contact the CDMRP Help Desk at <a href="help@eBRAP.org">help@eBRAP.org</a> or 301-682-5507 prior to the application submission deadline.

The Investigator-Initiated Research Award mechanism is structured to accommodate two PIs under the Partnering PI Option. One PI will be identified as the Initiating PI and will be responsible for the majority of the administrative tasks associated with application submission. The other PI will be identified as the Partnering PI. Initiating and Partnering PIs each have different submission requirements; however, both PIs should contribute significantly to the development of the proposed research project, including the Project Narrative, Statement of Work, and other required components.

The Initiating PI must complete the pre-application submission process and submit the contact information for each Partnering PI. The Partnering PI will then be notified of the pre-application submission separately by email. *The Partnering PI must follow the link in the notification email in order to associate his/her full application package with that of the Initiating PI. After following the link, the Partnering PI must verify his/her contact information, organization, and designation as an extramural or intramural submission within eBRAP.* If not previously registered, the Partnering PI must register in eBRAP. A new pre-application based on this research project should not be initiated by the Partnering PI. Do not delay completing these steps. If they are not completed, the Partnering PI will not be able to view and modify his/her application during the verification period in eBRAP. If these steps are not completed, an intramural partner will not be able to submit the Partnering PI required full application package components to eBRAP.

## II.D.2.a. Step 1: Pre-Application Submission Content

During the pre-application process, each submission is assigned a unique log number by eBRAP. This unique eBRAP log number is required during the full application submission process.

To begin the pre-application process, first select whether the submitting organization is extramural or intramural, then confirm your selection or cancel. **Incorrect selection of extramural or intramural submission type will delay processing.** 

If an error has been made in the selection of extramural versus intramural and the pre-application submission deadline has passed, the PI or Business Official must contact the CDMRP Help Desk at <a href="help@eBRAP.org">help@eBRAP.org</a> or 301-682-5507 to request a change in designation.

All pre-application components must be submitted by the PI (for single PI applicants) or Initiating PI (for applicants submitting under the Partnering PI Option) through eBRAP (<a href="https://eBRAP.org/">https://eBRAP.org/</a>). Because the invitation to submit an application is based on the contents of the pre-application, investigators should not change the title or research objectives after the pre-application is submitted.

PIs and organizations identified in the pre-application should be the same as those intended for the subsequent application submission. If any changes are necessary after submission of the pre-application, the PI must contact the CDMRP Help Desk at <a href="help@eBRAP.org">help@eBRAP.org</a> or 301-682-5507.

PIs with an ORCID identifier should enter that information in the appropriate field in the "My Profile" tab in the "Account Information" section of eBRAP.

The pre-application consists of the following components, which are organized in eBRAP by separate tabs (refer to the General Application Instructions, Section II.B, for additional information on pre-application submission):

#### • Tab 1 – Application Information

Submission of application information includes assignment of primary and secondary research classification codes, which may be found at <a href="https://ebrap.org/eBRAP/public/Program.htm">https://ebrap.org/eBRAP/public/Program.htm</a>. Note that the codes have recently been revised. Applicants are strongly encouraged to review and confirm the codes prior to making their selection.

Select the FY18 PRMRP Topic Area addressed by the proposed research. If the proposed research project is aligned with more than one FY18 PRMRP Topic Area, select the Topic Area of highest relevance as the required first choice.

#### • Tab 2 – Application Contacts

Enter contact information for the PI. Enter the organization's Business Official responsible for sponsored program administration (the "person to be contacted on matters involving this application" in Block 5 of the Grants.gov SF424 (R&R) Form). The Business Official must

be either selected from the eBRAP list or invited in order for the pre-application to be submitted.

Select the performing organization (site at which the PI will perform the proposed work) and the contracting organization (organization submitting on behalf of the PI, which corresponds to Block 5 on the Grants.gov SF424 (R&R) Form), and click on "Add Organizations to this Pre-application." The organization(s) must be either selected from the eBRAP drop-down list or invited in order for the pre-application to be submitted.

It is recommended that PIs identify an Alternate Submitter in the event that assistance with pre-application submission is needed.

## Tab 3 – Collaborators and Key Personnel

Enter the name, organization, and role of all collaborators and key personnel associated with the application. The Initiating PI must enter the contact information for the Partnering PI in the Partnering PI section.

<u>FY18 PRMRP Programmatic Panel</u> members should not be involved in any pre-application or application. For questions related to panel members and pre-applications or applications, refer to <u>Section II.H.2.c, Withdrawal</u>, or contact the CDMRP Help Desk at <u>help@eBRAP.org</u> or 301-682-5507.

To preserve the integrity of its peer and programmatic review processes, the CDMRP discourages inclusion of any employee of its review contractors having any role in preapplication or application preparation, research, or other duties for submitted pre-applications or applications. For FY18, the identities of the peer review contractor and the programmatic review contractor may be found at the CDMRP website (<a href="http://cdmrp.army.mil/about/2tierRevProcess">http://cdmrp.army.mil/about/2tierRevProcess</a>). Pre-applications or applications that include names of personnel from either of these companies will be administratively withdrawn unless plans to manage conflicts of interest (COIs) are provided and deemed appropriate by the Grants Officer. Refer to the General Application Instructions, Appendix 3, for detailed information.

#### • Tab 4 – Conflicts of Interest

List all individuals other than collaborators and key personnel who may have a COI in the review of the application (including those with whom the PI has a personal or professional relationship). Refer to the General Application Instructions, Appendix 3, Section C, for further information regarding COIs.

#### • Tab 5 – Pre-Application Files

Note: Upload documents as individual PDF files unless otherwise noted. eBRAP will not allow a file to be uploaded if the number of pages exceeds the limit specified below.

Preproposal Narrative (four-page limit): The Preproposal Narrative page limit applies
to text and non-text elements (e.g., figures, tables, graphs, photographs, diagrams,
chemical structures, drawings) used to describe the project. Inclusion of URLs that

provide additional information to expand the Preproposal Narrative and could confer an unfair competitive advantage is prohibited and may result in administrative withdrawal of the pre-application.

The Preproposal Narrative should include the following:

- Topic Area: Describe how the proposed project relates to at least one of the FY18 PRMRP Topic Areas. If applicable, describe how the proposed research project addresses an FY18 PRMRP Area of Encouragement (Appendix 2).
- Research Idea: Clearly articulate the rationale for the project by presenting the ideas
  and reasoning behind the proposed research; include relevant literature citations.
- **Research Strategy:** State the hypothesis to be tested and the objective(s) to be reached. State the project's specific aims and briefly describe the experimental approach. If applying under the Partnering PI Option, describe how the collaborative effort will provide synergy and enhance the research.
- Impact: Describe the potential short-term and long-term impact of the results of the proposed study on at least one of the FY18 PRMRP Topic Areas, and its related research field(s) and/or patient population(s).
- Military Relevance: Explain how the project is relevant to the healthcare needs of military Service members, Veterans, and/or beneficiaries.
- Pre-Application Supporting Documentation: The items to be included as supporting documentation for the pre-application *must be uploaded as individual files* and are limited to the following:
  - References Cited (one-page limit): List the references cited (including URLs if available) in the Preproposal Narrative using a standard reference format that includes the full citation (i.e., author[s], year published, reference title, and reference source, including volume, chapter, page numbers, and publisher, as appropriate).
  - List of Abbreviations, Acronyms, and Symbols: Provide a list of abbreviations, acronyms, and symbols used in the Preproposal Narrative.
  - Key Personnel Biographical Sketches (five-page limit per individual): All
    biographical sketches should be uploaded as a single combined file. Biographical
    sketches should be used to demonstrate background and expertise through education,
    positions, publications, and previous work accomplished.

## • Tab 6 – Submit Pre-Application

This tab must be completed for the pre-application to be accepted and processed.

## **Pre-Application Screening**

## • Pre-Application Screening Criteria

To determine the technical merits of the pre-application and the relevance to the mission of the DHP and the PRMRP, pre-applications will be screened based on the following criteria:

- **Research Idea:** How well the rationale for the research idea is supported by presented background information.
- **Research Strategy:** How well the specific aims and proposed methodology support the research idea and objectives. If applying under the Partnering PI Option, how the partnership will advance the research beyond what would be possible through individual efforts.
- o **Impact:** Whether the potential immediate and long-range outcome(s)/product(s) (knowledge and/or materiel) of the proposed research, if successful, will impact a central critical problem or question in the field of research and/or patient care in the FY18 PRMRP Topic Area(s) addressed.
- o **Programmatic Relevance:** Whether the proposed research idea supports the objectives of the PRMRP. To what degree the project is relevant to the healthcare needs of military Service members, Veterans, and/or beneficiaries.

## Notification of Pre-Application Screening Results

Following the pre-application screening, PIs (or Initiating PIs, if applying under the Partnering PI Option) will be notified as to whether or not they are invited to submit applications; however, they will not receive feedback (e.g., a critique of strengths and weaknesses) on their pre-application. The estimated timeframe for notification of invitation to submit an application is indicated in <u>Section I, Overview of the Funding Opportunity</u>. Invitations to submit a full application are based on the Pre-Application Screening Criteria listed above.

#### **II.D.2.b.** Step 2: Full Application Submission Content

Applications will not be accepted unless the PI or Initiating PI has received notification of invitation.

The CDMRP cannot make allowances/exceptions to its policies for submission problems encountered by the applicant organization using system-to-system interfaces with Grants.gov.

Each application submission must include the completed full application package for this Program Announcement. The full application package is submitted by the Authorized Organizational Representative through Grants.gov (<a href="http://www.grants.gov/">http://www.grants.gov/</a>) for extramural organizations or through eBRAP (<a href="https://ebrap.org/">https://ebrap.org/</a>) for intramural organizations. See Table 1 below for more specific guidelines.

## II.D.2.b.i. Full Application Guidelines

Extramural organizations must submit full applications through Grants.gov. Applicants must create a Grants.gov Workspace for submission, which allows the application components to be completed online and routed through the applicant organization for review prior to submission. Applicants may choose to download and save individual PDF forms rather than filling out webforms in the Workspace. A compatible version of Adobe Reader **must** be used to view, complete, and submit an application package consisting of PDF forms. If more than one person is entering text into an application package, the *same version* of Adobe Reader software should be used by each person. Check the version number of the Adobe software on each user's computer to make sure the versions match. Using different versions of Adobe Reader may cause submission and/or save errors – even if each version is individually compatible with Grants.gov. Refer to the General Application Instructions, Section III, and the "Apply For Grants" page of Grants.gov (<a href="https://www.grants.gov/web/grants/applicants/apply-for-grants.html">https://www.grants.gov/web/grants/applicants/apply-for-grants.html</a>) for further information about the Grants.gov Workspace submission process. Submissions of extramural applications through eBRAP may be withdrawn.

**Table 1. Full Application Submission Guidelines** 

Extramural Submissions	Intramural DoD Submissions			
Application Package Location				
Download application package components for W81XWH18PRMRPIIRA from Grants.gov (http://www.grants.gov) and create a Grants.gov Workspace. The Workspace allows online completion of the application components and routing of the application package through the applicant organization for review prior to submission.	Download application package components for W81XWH18PRMRPIIRA from eBRAP ( <a href="https://ebrap.org">https://ebrap.org</a> ).			
Full Application Package Components				
SF424 (R&R) Application for Federal Assistance Form: Refer to the General Application Instructions, Section III.A.1, for detailed information.	Tab 1 – Summary: Provide a summary of the application information.  Tab 2 – Application Contacts: This tab will be pre-populated by eBRAP; add Authorized Organizational Representative.			
Descriptions of each required file can be found under Full Application Submission Components:  • Attachments • Research & Related Personal Data • Research & Related Senior/Key Person Profile (Expanded) • Research & Related Budget • Project/Performance Site Location(s) Form	Tab 3 – Full Application Files: Upload files under each Application Component in eBRAP. Descriptions of each required file can be found under Full Application Submission Components:  • Attachments • Key Personnel • Budget • Performance Sites			

Extramural Submissions	Intramural DoD Submissions			
R&R Subaward Budget Attachment(s) Form (if applicable)	Tab 4 – Application and Budget Data: Review and edit proposed project start date, proposed end date, and budget data pre- populated from the Budget Form.			
Application Package Submission				
Create a Grants.gov Workspace. Add participants (investigators and Business Officials) to the Workspace, complete all required forms, and check for errors before submission.  Submit a Grants.gov Workspace Package. An application may be submitted through Workspace by clicking the "Sign and Submit" button on the "Manage Workspace" page, under the "Forms" tab. Grants.gov recommends submission of the application package at least 24-48 hours prior to the close date to allow time to correct any potential technical issues that may disrupt the application submission.  Note: If either the Project Narrative or the budget fails eBRAP validation or if the Project Narrative or the budget needs to be modified, an updated Grants.gov application package must be submitted via Grants.gov as a "Changed/Corrected Application" with the previous Grants.gov  Tracking ID prior to the application submission deadline.	Submit package components to eBRAP (https://ebrap.org).  Tab 5 – Submit/Request Approval Full Application: After all components are uploaded and prior to the full application submission deadline, enter your password in the space provided next to "Enter Your Password Here" and press the "Submit Full Application" button. eBRAP will notify your Resource Manager/Comptroller/Task Area Manager or equivalent Business Official by email.			

#### **Extramural Submissions**

#### **Intramural DoD Submissions**

#### **Application Verification Period**

The full application package submitted to Grants.gov may be viewed and modified in eBRAP until the end of the application verification period. During the application verification period, the full application package, with the exception of the **Project Narrative and Budget Form**, may be modified.

After eBRAP has processed the full application, the organizational Resource Manager/Comptroller/Task Area Manager or equivalent Business Official and PI(s) will receive email notification of this status and will be able to view and modify application components in eBRAP. During the application verification period, the full application package, with the exception of the Project Narrative and Budget Form, may be modified. Your Resource Manager/Comptroller/Task Area Manager or equivalent Business Official should log into eBRAP to review and to approve prior to the application verification deadline.

#### **Further Information**

#### Tracking a Grants.gov Workspace Package.

After successfully submitting a Workspace package, a Grants.gov Tracking Number is automatically assigned to the package. The number will be listed on the "Confirmation" page that is generated after submission.

Refer to the General Application Instructions, Section III, for further information regarding Grants.gov requirements.

Refer to the General Application Instructions, Section IV, for further information regarding eBRAP requirements.

Application viewing, modification, and verification in eBRAP are strongly recommended, but not required. *The Project Narrative and Budget cannot be changed after the application submission deadline.* Prior to the full application deadline, a corrected or modified full application package may be submitted. Other application components may be changed until the end of the application verification period. Verify that subaward budget(s) and budget justification forms are present in eBRAP during the application verification period. If these components are missing, upload them to eBRAP before the end of the application verification period. After the end of the application verification period, the full application cannot be modified.

Material submitted after the end of the application verification period, unless specifically requested by the Government, will not be forwarded for processing.

**Partnering PI Option:** The CDMRP requires separate full application package submissions for the Initiating PI and the Partnering PI, even if the PIs are located within the same organization. Initiating and Partnering PIs will each be assigned a unique eBRAP log number. Each full

application package must be submitted using the unique eBRAP log number. Note: All associated applications (Initiating and Partnering PIs') must be submitted by the full application submission deadline.

The full application package must be submitted using the unique eBRAP log number to avoid delays in application processing.

### II.D.2.b.ii. Full Application Submission Components

• Extramural Applications Only

**SF424** (**R&R**) **Application for Federal Assistance Form:** Refer to the General Application Instructions, Section III.A.1, for detailed information.

## Extramural and Intramural Applications

#### **Attachments:**

Each attachment to the full application components must be uploaded as an individual file in the format specified and in accordance with the formatting guidelines listed in the General Application Instructions, Appendix 4.

For all attachments, ensure that the file names are consistent with the guidance. Attachments will be rejected if the file names are longer than 50 characters or have incorrect file names that contain characters other than the following: A-Z, a-z, 0-9, underscore, hyphen, space, and period. In addition, there are file size limits that may apply in some circumstances. Individual attachments may not exceed 20 MB, and the file size for the entire full application package may not exceed 200 MB.

Attachment 1: Project Narrative (15-page limit): Upload as "ProjectNarrative.pdf." The page limit of the Project Narrative applies to text and non-text elements (e.g., figures, tables, graphs, photographs, diagrams, chemical structures, drawings) used to describe the project. Inclusion of URLs that provide additional information to expand the Project Narrative and could confer an unfair competitive advantage is prohibited and may result in administrative withdrawal of the application.

Describe the proposed project in detail using the outline below.

- Background: Describe how the proposed research project addresses one or more of the FY18 PRMRP Topic Areas. Present the ideas and reasoning behind the proposed work. Cite relevant literature. Describe previous experience most pertinent to the project. Include relevant preliminary data; these data may be unpublished or from the published literature.
- **Hypothesis:** State the hypothesis to be tested.
- **Specific Aims:** Concisely explain the project's specific aims and the objective(s) to be reached. These aims should agree with the primary aims and associated tasks

described in the Statement of Work. If the proposed work is part of a larger study, present only aims that this DoD award would fund.

- Research Strategy: Describe the experimental design, methods, and analyses, including appropriate controls, in sufficient detail for analysis. Address potential problem areas and present alternative methods and approaches. Clearly describe the statistical plan and the rationale for the statistical methodology as well as an appropriate power analysis, if applicable. If animal studies are proposed, describe how they will be conducted in accordance with the ARRIVE guidelines (<a href="http://www.elsevier.com/">http://www.elsevier.com/</a> data/promis misc/622936arrive guidelines.pdf).
- If human subjects or human biological samples will be used, describe the study population and include a detailed plan for the recruitment of human subjects or the acquisition of samples. Describe the availability of the proposed study population and past successes in recruiting similar populations. If active duty military, military families, and/or Veteran population(s) or datasets will be used in the proposed research project, describe the feasibility of accessing the population(s)/dataset(s). Clinical trials are not allowed under the Investigator-Initiated Research Award.
- If applicable, describe how data will be reported and how it will be assured that the
  documentation will support a regulatory filing with the U.S. Food and Drug
  Administration (FDA).
- Attachment 2: Supporting Documentation: Combine and upload as a single file named "Support.pdf." Start each document on a new page. If documents are scanned to PDF, the lowest resolution (100 to 150 dpi) should be used. The Supporting Documentation attachment should not include additional information such as figures, tables, graphs, photographs, diagrams, chemical structures, or drawings. These items should be included in the Project Narrative.

There are no page limits for any of these components unless otherwise noted. Include only those components described below; inclusion of items not requested or viewed as an extension of the Project Narrative will result in the removal of those items or may result in administrative withdrawal of the application.

- References Cited: List the references cited (including URLs, if available) in the Project Narrative using a standard reference format that includes the full citation (i.e., author[s], year published, title of reference, source of reference, volume, chapter, page numbers, and publisher, as appropriate).
- List of Abbreviations, Acronyms, and Symbols: Provide a list of abbreviations, acronyms, and symbols.
- Facilities, Existing Equipment, and Other Resources: Describe the facilities and equipment available for performance of the proposed project and any additional facilities or equipment proposed for acquisition at no cost to the award. Indicate whether or not Government-furnished facilities or equipment are proposed for use. If so, reference should be made to the original or present Government award under

- which the facilities or equipment items are now accountable. There is no form for this information.
- Publications and/or Patents: Include a list of relevant publication URLs and/or patent abstracts. If publications are not publicly available, then copies of up to five published manuscripts may be included in Attachment 2. Extra items will not be reviewed.
- Letters of Organizational Support: Provide a letter (or letters, if applicable) signed by the Department Chair or appropriate organization official, confirming the laboratory space, equipment, and other resources available for the project. Letters of support not requested in the Program Announcement, such as those from members of Congress, do not impact application review or funding decisions.
- Letters of Collaboration: Provide a signed letter from each collaborating individual or organization that will demonstrate that the PI has the support or resources necessary for the proposed work. If an investigator at an intramural organization is named as a collaborator on an application submitted through an extramural organization, the application must include a letter from the collaborator's Commander or Commanding Officer at the intramural organization that authorizes the collaborator's involvement.
- Use of Military Resources (if applicable): If the proposed research plan involves access to active duty military patient populations or resources, the PI is responsible for demonstrating such access. Provide a letter of support signed by the lowest-ranking person with approval authority confirming access to active duty military patient populations and/or DoD resources or databases.
- Use of Veterans Affairs Resources (if applicable): Provide a letter of support from the VA Facility Director(s) or individual designated by the VA Facility Director(s), such as the ACOS/R&D or Clinical Service Chief confirming access to VA patients, resources, and/or VA research space. For VA PIs, if the VA NPC is not identified as the applicant institution for administering the funds, include a letter from the VA ACOS/R&D confirming this arrangement and identifying the institution that will administer the funds associated with the proposed research.
- Intellectual Property: Information can be found in Code of Federal Regulations, Title 2, Part 200.315 (2 CFR 200.315), "Intangible Property."
  - Intellectual and Material Property Plan (if applicable): Provide a plan for resolving intellectual and material property issues among participating organizations.
  - Commercialization Strategy (if applicable): Describe the commercialization plan. The plan should include intellectual property, market size, financial analysis, strengths and weaknesses, barriers to the market, competitors, and management team. Discuss the significance of this development effort, when it can be anticipated, and the potential commercial use for the technology being developed.

- Data and Research Resources Sharing Plan: Describe how data and resources generated during the performance of the project will be shared with the research community. Refer to the General Application Instructions, Appendix 2, Section K, for more information about the CDMRP expectations for making data and research resources publicly available.
- Attachment 3: Technical Abstract (one-page limit): Upload as "TechAbs.pdf." The technical abstract is used by all reviewers. Abstracts of all funded research projects will be posted publicly. Do not include proprietary or confidential information. Use only characters available on a standard QWERTY keyboard. Spell out all Greek letters, other non-English letters, and symbols. Graphics are not allowed.

The technical abstract is used by all reviewers. Of particular importance, programmatic reviewers typically do not have access to the full application and therefore rely on the technical abstract for appropriate description of the project's key aspects. Therefore, clarity and completeness within the space limits of the technical abstract are highly important.

Describe the proposed research project, including the following elements: background, rationale, hypothesis, specific aims and objectives, study design, long-term and short-term impact to the relevant research field and patient population(s), and the relevance of the project to at least one FY18 PRMRP Topic Area.

Attachment 4: Lay Abstract (one-page limit): Upload as "LayAbs.pdf." The lay abstract is used by all reviewers. Abstracts of all funded research projects will be posted publicly. *Do not include proprietary or confidential information*. Use only characters available on a standard QWERTY keyboard. Spell out all Greek letters, other non-English letters, and symbols. Graphics are not allowed.

Describe how the proposed research project addresses one or more of the FY18 PRMRP Topic Areas. Include a comprehensive overview of the proposed research project that will be *readily understood by readers without a background in science or medicine*. Clearly describe the central critical problem or question to be addressed and the ultimate applicability and impact of the research. *Do not duplicate the technical abstract*.

• Attachment 5: Statement of Work (SOW) (three-page limit): Upload as "SOW.pdf." The suggested SOW format and examples specific to different types of research projects are available on the eBRAP "Funding Opportunities & Forms" web page (<a href="https://ebrap.org/eBRAP/public/Program.htm">https://ebrap.org/eBRAP/public/Program.htm</a>). For the Investigator-Initiated Research Award mechanism, use the SOW format example titled, "SOW (Statement of Work) Generic Format." The SOW must be in PDF format prior to attaching.

The SOW should include a list of major tasks that support the proposed specific aims, followed by a series of subtasks outlined related to the major tasks and milestones within the period of performance. The SOW should describe only the work for which funding is being requested by this application and, as applicable, should also:

- Include the name(s) of the key personnel and contact information for each study site/ subaward site.
- Indicate the number (and type, if applicable) of research subjects (animal or human) and/or human anatomical samples projected or required for each task and at each site.
   Refer to the General Application Instructions, Appendix 1, for additional information regarding regulatory requirements.
- For studies with prospective accrual of human subjects, indicate quarterly enrollment targets.
- Identify cell line(s) and commercial or organizational source(s) to be used. If human
  anatomical substances (including cell lines) will be used, specify whether or not
  identifiable information is accessible to the research team by any means.
- If applicable, indicate timelines required for regulatory approvals relevant to human subjects research (e.g., Investigational New Drug and Investigational Device Exemption applications) by the FDA or other Government agency.

Partnering PI Option: The Initiating and Partnering PIs must each submit an identical copy of a jointly created SOW. The contributions of the Initiating PI and the Partnering PI should be noted for each task.

o Attachment 6: Impact Statement (one-page limit): Upload as "Impact.pdf."

Explain why the proposed research project is important and relevant to understanding the cause or progression of the disease or condition, or to developing improvements in prevention, detection, diagnosis, treatment, patient care, or quality of life in the FY18 PRMRP Topic Area(s) addressed. Describe how the study will address a central critical problem or question in the relevant Topic Area(s). If applicable, describe how the project addresses an FY18 PRMRP Area of Encouragement (Appendix 2).

- Describe the short-term impact: Detail the anticipated outcome(s)/product(s)
  (knowledge and/or materiel) that will be directly attributed to the results of the
  proposed research.
- Describe the long-term impact: Explain the anticipated long-term gains from this research. Compare to the information known/products currently available, if applicable. Explain the long-range vision for how the research will impact the field of study and/or clinical care.
- Attachment 7: Military Relevance Statement (one-page limit): Upload as "MilRel.pdf."

Describe how the proposed study is responsive to the healthcare needs of military Service members, Veterans, and/or beneficiaries. Provide information about the incidence and/or prevalence of the disease or condition in the general population as well as in military Service members, Veterans, and/or beneficiaries.

If active duty military, military families, and/or Veteran population(s) or datasets will be used in the proposed research project, describe the population(s)/dataset(s) and the appropriateness of the population(s)/dataset(s) for the proposed study. If a non-military population will be used for the proposed research project, explain how the population simulates the targeted population (i.e., military Service members, Veterans, and/or beneficiaries).

If applicable, show how the proposed research project aligns with DoD and/or VA areas of research interest. Provide a description of how the knowledge, information, products, or technologies gained from the research could be implemented in a dual-use capacity to benefit the civilian population and address a military need, as appropriate

Attachment 8: Partnership Statement (one-page limit): Upload as "Partnership.pdf."
 This attachment is only required for applications submitted under the Partnering PI Option.

Describe the expertise of the Initiating and Partnering PIs and how each will bring different strengths to the proposed project. Describe how the combined effort will be synergistic and produce an outcome greater than what could be achieved by independent efforts. Outline the contribution and time commitment of each partner and how each will have equal intellectual input on the design, conduct, and analysis of the project. Describe how the PIs will manage the collaboration and workflow to optimize research efforts.

- Attachment 9: Representations, if applicable (extramural submissions only): Upload as "MandatoryReps.pdf." All extramural applicants must complete and submit the Required Representations template available on eBRAP (<a href="https://ebrap.org/eBRAP/public/Program.htm">https://ebrap.org/eBRAP/public/Program.htm</a>). For more information, see the General Application Instructions, Appendix 5, Section B, Representations.
- Attachment 10: DoD Military Budget Form(s), if applicable: Upload as "MFBudget.pdf." If a military facility (Military Health System facility, research laboratory, medical treatment facility, dental treatment facility, or a DoD activity embedded with a civilian medical center) will be a collaborator in performance of the project, complete the DoD Military Budget Form, available for download on the eBRAP "Funding Opportunities & Forms" web page (<a href="https://ebrap.org/eBRAP/public/Program.htm">https://ebrap.org/eBRAP/public/Program.htm</a>), including a budget justification, for each military facility as instructed. The costs per year should be included on the Grants.gov Research and Related Budget form under subaward costs. Refer to the General Application Instructions, Section III.A.7, for detailed information.

## Extramural and Intramural Applications

To evaluate compliance with Title IX of the Education Amendments of 1972 (20 USC A§1681 et seq.), the DoD is collecting certain demographic and career information to be able to assess the success rates of women who are proposed for key roles in applications in science, technology, engineering, or mathematics disciplines. To enable this assessment, each application must include the following forms completed as indicated.

**Research & Related Personal Data:** For extramural submissions (via Grants.gov), refer to the General Application Instructions, Section III.A.3, and for intramural submissions (via eBRAP), refer to the General Application Instructions, Section IV.A.2, for detailed information.

**Research & Related Senior/Key Person Profile (Expanded):** For extramural submissions (via Grants.gov), refer to the General Application Instructions, Section III.A.3, and for intramural submissions (via eBRAP), refer to the General Application Instructions, Section IV.A.2, for detailed information.

- o PI Biographical Sketch (five-page limit): Upload as "Biosketch\_LastName.pdf." The suggested biographical sketch format is available on the "Funding Opportunities & Forms" web page (<a href="https://ebrap.org/eBRAP/public/Program.htm">https://ebrap.org/eBRAP/public/Program.htm</a>) in eBRAP. The National Institutes of Health Biographical Sketch may also be used. All biographical sketches should be submitted in the PDF format that is not editable.
- PI Previous/Current/Pending Support (no page limit): Upload as "Support LastName.pdf."
- Key Personnel Biographical Sketches (five-page limit each): Upload as "Biosketch LastName.pdf."
- Key Personnel Previous/Current/Pending Support (no page limit): Upload as "Support\_LastName.pdf."

**Research & Related Budget:** For extramural submissions (via Grants.gov), refer to the General Application Instructions, Section III.A.4, and for intramural submissions (via eBRAP), refer to the General Application Instructions, Section IV.A.3, for detailed information.

**Budget Justification (no page limit):** Upload as "BudgetJustification.pdf." The budget justification for the entire period of performance must be uploaded to the Research & Related Budget after completion of the budget for Period 1.

Partnering PI Option: Initiating and Partnering PIs must each submit a budget and justification specific to their own portion of the effort as part of their separate Grants.gov or eBRAP application packages. The Initiating PI should not include budget information for the Partnering PI, even if they are located within the same organization. The anticipated combined direct costs budgeted for the entire period of performance for the Initiating and Partnering PIs' applications will not exceed \$1.5M. Refer to Section II.D.5, Funding Restrictions, for detailed information.

**Project/Performance Site Location(s) Form:** For extramural submissions (via Grants.gov), refer to the General Application Instructions, Section III.A.5, and for intramural submissions (via eBRAP), refer to the General Application Instructions, Section IV.A.4, for detailed information.

## Extramural Applications Only

**R&R Subaward Budget Attachment(s) Form (if applicable):** Refer to the General Application Instructions, Section III.A.6, for detailed information.

Extramural Subaward: Complete the Research & Related Subaward Budget Form through Grants.gov. (Refer to the General Application Instructions, Section III.A.6, for detailed information.) Verify subaward budget(s) and budget justification forms are present in eBRAP during the application verification period. If these components are missing, upload them to eBRAP before the end of the application verification period.

**Intramural DoD Collaborator(s):** Complete the DoD Military Budget Form and upload to Grants.gov attachment form as Attachment 10. (Refer to the General Application Instructions, Section III.A.7, for detailed information.) Intramural DoD Collaborator(s) costs per year should be included on the Grants.gov Research and Related Budget form under subaward costs.

**DoD Military Budget Form:** A military facility collaborating in the performance of the project (but not participating as a Partnering PI) should be treated as a subaward for budget purposes. However, do not complete the Grants.gov R&R Subaward Budget Attachment Form; instead, complete the DoD Military Budget Form (Attachment 10) to show all direct and indirect costs. The costs per year should be included on the Grants.gov Research & Related Budget Form under subaward costs. Refer to the General Application Instructions, Section III.A.7, for detailed information.

## Application Components for the Partnering PI, if applying under the Partnering PI Option

The Partnering PI must follow the link in the email from eBRAP and, if not registered in eBRAP, complete the registration process prior to the application submission deadline in order to associate their full application package with that of the Initiating PI.

The Initiating PI must identify if that Partnering PI will be submitting an extramural or intramural application (in accordance with the guidelines in <a href="Section II.C.1.a">Section II.C.1.a</a>, Organization) and the appropriate mode of submission (Grants.gov for extramural and eBRAP for intramural). The Partnering PI must verify his/her contact information and mode of submission within eBRAP to ensure proper submission of his/her application.

The application submission process for the Partnering PI uses an abbreviated full application package that includes:

## Extramural and Intramural Applications

#### **Attachments:**

• Attachment 5: Statement of Work (three-page limit): Upload as "SOW.pdf." Refer to the General Application Instructions, Section III.A.2, for detailed information on completing the SOW. Each PI must submit an identical copy of a jointly created SOW. The contributions of the Initiating PI and Partnering PI should be noted for each task.

- Attachment 9: Representations, if applicable (extramural submissions only): Upload as "MandatoryReps.pdf." All extramural applicants must complete and submit the Required Representations template available on eBRAP (<a href="https://ebrap.org/eBRAP/public/Program.htm">https://ebrap.org/eBRAP/public/Program.htm</a>). For more information, see the General Application Instructions, Appendix 5, Section B, Representations.
- Attachment 10: DoD Military Budget Form: Upload as "MFBudget.pdf." Refer to the General Application Instructions, Section III.A.7, for detailed information. The costs per year should be included on the Grants.gov Research and Related Budget form under subaward costs.

**Research & Related Personal Data:** For extramural submissions (via Grants.gov), refer to the General Application Instructions, Section III.A.3, and for intramural submissions (via eBRAP), refer to the General Application Instructions, Section IV.A.2, for detailed information.

**Research & Related Senior/Key Person Profile (Expanded):** For extramural submissions (via Grants.gov), refer to the General Application Instructions, Section III.A.3, and for intramural submissions (via eBRAP), refer to the General Application Instructions, Section IV.A.2, for detailed information.

- o PI Biographical Sketch (five-page limit): Upload as "Biosketch\_LastName.pdf." The suggested biographical sketch format is available on the "Funding Opportunities & Forms" web page (<a href="https://ebrap.org/eBRAP/public/Program.htm">https://ebrap.org/eBRAP/public/Program.htm</a>) in eBRAP. The National Institutes of Health Biographical Sketch may also be used. All biographical sketches should be submitted in the PDF format that is not editable.
- PI Previous/Current/Pending Support (no page limit): Upload as "Support\_LastName.pdf."
- Key Personnel Biographical Sketches (five-page limit each): Upload as "Biosketch LastName.pdf."
- Key Personnel Previous/Current/Pending Support (no page limit): Upload as "Support LastName.pdf."

**Research & Related Budget:** For extramural submissions, refer to the General Application Instructions, Section III.A.4, and for intramural submissions, refer to the General Application Instructions, Section IV.A.3, for detailed information.

**Budget Justification (no page limit):** Upload as "BudgetJustification.pdf."

Initiating and Partnering PIs must each submit a budget and justification specific to their own portion of the efforts as part of their separate Grants.gov application packages. The Research & Related Budget for the Partnering PI should not include budget information for the Initiating PI, even if they are located within the same organization. Refer to <a href="Section II.D.5">Section II.D.5</a>, Funding Restrictions, for detailed information.

**Project/Performance Site Location(s) Form:** For extramural submissions (via Grants.gov), refer to the General Application Instructions, Section III.A.5, and for intramural submissions (via eBRAP), refer to General Application Instructions, Section IV.A.4, for detailed information.

## Extramural Applications Only

### **R&R Subaward Budget Attachment(s) Form:**

- Extramural Subaward: Complete the R&R Subaward Budget Form through Grants.gov. (Refer to the General Application Instructions, Section III.A.6, for detailed information.)
- o **Intramural DoD Collaborator(s):** Complete the DoD Military Budget Form and upload to Grants.gov attachment form as Attachment 10. (Refer to the General Application Instructions, Section IV.A.3, for detailed information.)

# II.D.3. Dun and Bradstreet Data Universal Numbering System (DUNS) Number and System for Award Management (SAM)

Applicant organizations and all subrecipient organizations must have a DUNS number to submit applications to Grants.gov. The applicant organization must also be registered in the Entity Management functional area of the SAM with an "Active" status to submit applications through the Grants.gov portal. Verify the status of the applicant's organization's Entity registration in SAM well in advance of the application submission deadline. Allow 3 to 4 weeks to complete the entire SAM registration process. If an applicant has not fully complied with the requirements at the time the Federal awarding agency is ready to make a Federal award, the Federal awarding agency may determine that the applicant is not qualified to receive a Federal award and use that determination as a basis for making a Federal award to another applicant. Refer to the General Application Instructions, Section III, for further information regarding Grants.gov requirements.

#### **II.D.4. Submission Dates and Times**

All submission dates and times are indicated in <u>Section I, Overview of the Funding Opportunity</u>. Pre-application and application submissions are required. The pre-application and application submission process should be started early to avoid missing deadlines. There are no grace periods. Failure to meet either of these deadlines will result in submission rejection.

## Applicant Verification of Full Application Submission in eBRAP

Following retrieval and processing of the full application, eBRAP will notify the organizational representatives and PI by email to log into eBRAP to review, modify, and verify the full application submission. eBRAP will validate retrieved files against the specific Program Announcement requirements and discrepancies will be noted in both the email and in the "Full Application Files" tab in eBRAP. eBRAP does not confirm the accuracy of file content. It is the applicant's responsibility to review all application components and ensure proper ordering as specified in the Program Announcement. If either the Project Narrative or the budget fails eBRAP validation or needs to be modified, an updated full application package must be

*submitted prior to the application submission deadline.* The Project Narrative and Budget Form cannot be changed after the application submission deadline.

**Extramural Submission:** The full application package submitted to Grants.gov may be viewed and modified in eBRAP until the end of the application verification period. During the application verification period, the full application package, with the exception of the Project Narrative and Budget Form, may be modified.

Intramural DoD Submission: After eBRAP has processed the full application, the organizational Resource Manager/Comptroller/Task Area Manager or equivalent Business Official and PIs will receive email notification of the status and will be able to view and modify application components in eBRAP. During the application verification period, the full application package, with the exception of the Project Narrative and Budget Form, may be modified. The Resource Manager/Comptroller/Task Area Manager or equivalent Business Official should log into eBRAP to review and to approve prior to the application verification deadline.

**For All Submissions:** Verify that subaward budget(s) with budget justification are present in eBRAP during the application verification period. If these components are missing, upload them to eBRAP before the end of the application verification period.

#### **II.D.5.** Funding Restrictions

The maximum period of performance is **3** years.

## **Application submissions with a single PI:**

The anticipated direct costs budgeted for the entire period of performance will not exceed **\$1.2M**. If indirect cost rates have been negotiated, indirect costs are to be budgeted in accordance with the organization's negotiated rate. No budget will be approved by the Government exceeding **\$1.2M** direct costs or using an indirect cost rate exceeding the organization's negotiated rate.

All direct and indirect costs of any subaward or contract must be included in the total direct costs of the primary award.

The applicant may request the entire maximum funding amount for a project that may have a period of performance less than the maximum 3 years.

Application submissions, including the Partnering PI Option: The anticipated combined direct costs budgeted for the entire period of performance for the Initiating and Partnering PIs' applications will not exceed \$1.5M. If indirect cost rates have been negotiated, indirect costs are to be budgeted in accordance with the organization's negotiated rate. Collaborating organizations should budget associated indirect costs in accordance with each organization's negotiated rate. The combined budgeted direct costs approved by the Government will not exceed \$1.5M or use an indirect cost rate exceeding each organization's negotiated rate.

A separate award will be made to each PI's organization.

The Initiating and the Partnering PIs are expected to be partners in the research, and direct cost funding should be divided accordingly, unless otherwise warranted and clearly justified. Each partner may request no more than 75% (or no less than 25%) of the proposed direct costs.

For this award mechanism, direct costs may be requested for (not all-inclusive):

- Salary
- Research supplies
- Clinical research costs (clinical trials are not allowed)
- Support for multidisciplinary collaborations, including travel
- Travel costs for the PIs to disseminate project results at one DoD-supported meeting to be specified by the program office during award negotiations (i.e., the Military Health System Research Symposium)
- Travel costs for one investigator to travel to one scientific/technical meeting per year to present project outcomes or disseminate project results

Awards made to extramural organizations will consist solely of assistance agreements (Cooperative Agreements and Grants). For extramural awards with an intragovernmental component, direct transfer of funds from an extramural award recipient to a DoD or other Federal agency is not allowed except under very limited circumstances. Funding to intramural DoD and other Federal agencies will be managed through a direct fund transfer. Intramural applicants are responsible for coordinating through their agency's procedures the use of contractual or assistance funding awards or other appropriate agreements to support extramural collaborators. Application packages from associated extramural partners will be funded through assistance agreements.

Refer to the General Application Instructions, Section III.A.4, for budget regulations and instructions for the Research & Related Budget. For Federal agencies or organizations collaborating with Federal agencies, budget restrictions apply as are noted in the General Application Instructions, Section III.A.4.

The CDMRP expects to allot approximately \$95M of the \$330M FY18 appropriation to fund approximately 47 Investigator-Initiated Research Award applications, depending on the quality and number of applications received. Funding of applications received in response to this Program Announcement is contingent upon the availability of Federal funds for this program.

Funds to be obligated on any award resulting from this funding opportunity will be available for use for a limited time period based on the fiscal year of the funds. The time is considered when establishing the award's period of performance. It is anticipated that awards made from this funding opportunity will be funded with FY18 funds, which will expire for use on September 30, 2024.

## **II.D.6.** Other Submission Requirements

Refer to the General Application Instructions, Appendix 4, for detailed formatting guidelines.

## **II.E.** Application Review Information

#### II.E.1. Criteria

#### II.E.1.a. Peer Review

To determine technical merit, all applications will be evaluated according to the following scored criteria, which are listed in decreasing order of importance:

## Research Strategy and Feasibility

- How well the scientific rationale supports the project and its feasibility, as demonstrated by a critical review and analysis of the literature, supporting data, and logical reasoning.
- How well the hypothesis, specific aims, and objective(s) are developed.
- How well the experimental design, methods, data collection procedures, and analyses are developed and support completion of the aims.
- If applicable, to what degree the statistical plan and power analysis are appropriate for the proposed project.
- If animal studies are included, how well they are designed to achieve reproducible and rigorous results, including the choice of model and the endpoints/outcomes to be measured.
- If applicable, whether data will be appropriately reported and documented to support a regulatory filing with the FDA.
- o If applicable, how well the application provides evidence of availability of and access to the necessary study populations and/or resources.
- How well potential problems are identified and alternative approaches are addressed.
- Whether the research can be completed within the proposed period of performance.

## Impact

- To what extent the project impacts a central critical problem or question in at least one FY18 PRMRP Topic Area.
- If applicable, how well the proposed research project addresses one or more FY18 PRMRP Areas of Encouragement.

- How the proposed research project, if successful, will make important scientific advances in the relevant field of research.
- o To what degree the proposed project could, if successful, make a significant impact on the lives of relevant patient populations in the short term and/or long term.

#### Personnel

- How the background and expertise of the PIs and other key personnel demonstrate their ability to perform the proposed work.
- How the levels of effort by the PIs and other key personnel are appropriate to ensure the successful conduct of the project.
- How each PI's record(s) of accomplishment demonstrates their ability to accomplish the proposed work.

## For applications submitted under the Partnering PI Option:

## Partnership

- How well the research project is supported by the nature of the collaboration.
- To what extent the proposed partnership will advance research beyond what would be possible through individual efforts.
- How well the skills and perspectives of the Initiating and Partnering PIs complement each other.
- How well the application reflects the requirement that the partners have equal intellectual input into the design of the project.

In addition, the following unscored criteria will also contribute to the overall evaluation of the application:

#### Environment

- How the scientific environment is appropriate for the proposed research.
- How the research requirements are supported by the availability of and accessibility to facilities and resources (including collaborative arrangements).
- How the quality and extent of organizational support are appropriate for the proposed research.
- o If applicable, to what degree the intellectual and material property plan is appropriate.

## Budget

• Whether the budget is appropriate for the proposed research and within the limitations of this Funding Opportunity.

## • Application Presentation

• To what extent the writing, clarity, and presentation of the application components influence the review.

## **II.E.1.b.** Programmatic Review

To make funding recommendations and select the application(s) that, individually or collectively, will best achieve the program objectives, the following criteria are used by programmatic reviewers:

- Ratings and evaluations of the peer reviewers
- Relevance to the mission of the DHP and FY18 PRMRP, as evidenced by the following:
  - Adherence to the intent of the award mechanism
  - Program portfolio composition
  - Military relevance
  - Relative impact

## **II.E.2.** Application Review and Selection Process

All applications are evaluated by scientists, clinicians, and consumers in a two-tier review process. The first tier is peer review of applications against established criteria for determining technical merit. Each application is evaluated for its own merit, independent of other applications. The second tier is a programmatic review that makes recommendations for funding to the Commanding General, USAMRMC, on behalf of the DHA and the OASD(HA), based on technical merit, the relevance to the mission of the DHP and PRMRP, the specific intent of the award mechanism, and to other specified evaluation criteria in the Program Announcement. Programmatic review is a comparison-based process in which applications with scientific and technical merit compete in a common pool. *The highest-scoring applications from the first tier of review are not automatically recommended for funding. Funding recommendations depend on various factors as described in Section II.E.1.b, Programmatic Review.* Additional information about the two-tier process used by the CDMRP can be found at <a href="http://cdmrp.army.mil/about/fundingprocess">http://cdmrp.army.mil/about/fundingprocess</a>.

All CDMRP review processes are conducted confidentially to maintain the integrity of the merit-based selection process. Panel members sign a statement that application and evaluation information will not be disclosed outside the panel. Violations of confidentiality can result in the dissolving of a panel(s) and other corrective actions. In addition, personnel at the applicant or

collaborating organizations are prohibited from contacting persons involved in the review and approval process to gain protected evaluation information or to influence the evaluation process. Violations of these prohibitions will result in the administrative withdrawal of the organization's application. Violations by panel members or applicants that compromise the confidentiality of the review and approval process may also result in suspension or debarment from Federal awards. Furthermore, the unauthorized disclosure of confidential information of one party to another third party is a crime in accordance with 18 USC 1905.

#### **II.E.3.** Integrity and Performance Information

Prior to making an assistance agreement award where the Federal share is expected to exceed the simplified acquisition threshold (currently \$150,000) over the period of performance, the Federal awarding agency is required to review and consider any information about the applicant that is available in the Federal Awardee Performance and Integrity Information System (FAPIIS).

An applicant organization may review FAPIIS, accessible through SAM, and submit comments to FAPIIS on any information about the organization that a Federal awarding agency previously entered and is currently available in FAPIIS.

The Federal awarding agency will consider any comments by the applicant, in addition to other information in the designated integrity and performance system, in making a judgment about the applicant's integrity, business ethics, and record of performance under Federal awards when determining a recipient's qualification prior to award, according to the qualification standards of the Department of Defense Grant and Agreement Regulations (DoDGAR), Section 22.415.

#### **II.E.4.** Anticipated Announcement and Federal Award Dates

All application review dates and times are indicated in <u>Section I, Overview of the Funding Opportunity</u>.

Each PI and organization will receive email notification of posting of the funding recommendation in eBRAP. Each PI will receive a peer review summary statement on the strengths and weaknesses of the application.

#### II.F. Federal Award Administration Information

#### **II.F.1. Federal Award Notices**

Awards will be made no later than September 30, 2019. Refer to the General Application Instructions, Appendix 2, for additional award administration information.

After email notification of application review results through eBRAP, and if selected for funding, a representative from the USAMRAA will contact the business official authorized to negotiate on behalf of the PI's organization.

Only an appointed USAMRAA Grants Officer may obligate the Government to the expenditure of funds. No commitment on the part of the Government should be inferred from discussions

with any other individual. The award document signed by the Grants Officer is the official authorizing document.

Federal Organizations: Awards to Federal Government organizations (to include intramural DoD organizations) will be executed through the Military Interdepartmental Purchase Request (MIPR) or Funding Authorization Document (FAD) process. Transfer of funds is contingent upon appropriate safety and administrative approvals. Intramural applicants and collaborators are reminded to coordinate receipt and commitment of funds through their respective Resource Manager/Task Area Manager/Comptroller or equivalent Business Official.

After email notification of application review results through eBRAP, and if selected for funding, a representative from the CDMRP will contact the business official authorized to negotiate on behalf of the PI's organization.

## II.F.1.a. PI Changes and Award Transfers

Changes in PI and an organizational transfer of an award supporting the Initiating or Partnering PI are discouraged and will be evaluated on a case-by-case basis and only allowed at the discretion of the Grants Officer. An organizational transfer of an award will not be allowed in the last year of the (original) period of performance or any extension thereof.

Refer to the General Application Instructions, Appendix 2, Section B, for general information on organization or PI changes.

### II.F.2. Administrative and National Policy Requirements

Applicable requirements in the DoDGAR found in 32 CFR, Chapter 1, Subchapter C, and 2 CFR, Chapter XI, apply to grants and cooperative agreements resulting from this Program Announcement.

Refer to the General Application Instructions, Appendix 2, for general information regarding administrative requirements.

Refer to the General Application Instructions, Appendix 5, for general information regarding national policy requirements.

Refer to full text of the <u>USAMRAA General Research Terms and Conditions with Institutions of Higher Education</u>, <u>Hospitals</u>, and <u>Non-Profit Organizations</u>: Addendum to the DoD R&D Terms and Conditions and the <u>USAMRAA General Research Terms and Conditions with For-Profit Organizations</u> for further information.

## II.F.3. Reporting

Refer to the General Application Instructions, Appendix 2, Section A, for general information on reporting requirements. If there are technical reporting requirement delinquencies for any existing USAMRAA-sponsored awards at the applicant organization, no new awards will be issued to the applicant organization until all delinquent reports have been submitted.

Annual progress reports as well as a final progress report will be required.

Quarterly technical progress reports may be required.

Award Chart: Complete the Award Chart template, a one-page PowerPoint file that must be downloaded from the CDMRP eBRAP System at <a href="https://ebrap.org/eBRAP/public/Program.htm">https://ebrap.org/eBRAP/public/Program.htm</a>, and submit to eBRAP at the time of award.

Award Expiration Transition Plan: An Award Expiration Transition Plan must be submitted with the final progress report. Use the one-page template titled, "Award Expiration Transition Plan," available on the on the eBRAP "Funding Opportunities & Forms" web page (<a href="https://ebrap.org/eBRAP/public/Program.htm">https://ebrap.org/eBRAP/public/Program.htm</a>) under the "Progress Report Formats" section.

Awards resulting from this Program Announcement will incorporate additional reporting requirements related to recipient integrity and performance matters. Recipient organizations that have Federal contract, grant, and cooperative agreement awards with a cumulative total value greater than \$10,000,000 are required to provide information to FAPIIS about certain civil, criminal, and administrative proceedings that reached final disposition within the most recent 5-year period and that were connected with performance of a Federal award. Recipients are required to disclose semiannually information about criminal, civil, and administrative proceedings as specified in the applicable Terms and Conditions (see General Application Instructions, Section III.A.4).

## **II.G. Federal Awarding Agency Contacts**

## **II.G.1. CDMRP Help Desk**

Questions related to Program Announcement content or submission requirements as well as questions related to the pre-application or intramural application submission through eBRAP should be directed to the CDMRP Help Desk, which is available Monday through Friday from 8:00 a.m. to 5:00 p.m. ET. Response times may vary depending upon the volume of inquiries.

Phone: 301-682-5507

Email: help@eBRAP.org

## **II.G.2.** Grants.gov Contact Center

Questions related to extramural application submission through Grants.gov portal should be directed to the Grants.gov Contact Center, which is available 24 hours a day, 7 days a week (closed on U.S. Federal holidays). Note that the CDMRP Help Desk is unable to provide technical assistance with Grants.gov submission.

Phone: 800-518-4726; International 1-606-545-5035

Email: support@grants.gov

Sign up on Grants.gov for "send me change notification emails" by following the link on the "Synopsis" page for the Program Announcement or by responding to the prompt provided by Grants.gov when first downloading the Grants.gov application package. If the Grants.gov application package is updated or changed, the original version of the application package may not be accepted by Grants.gov.

## **II.H.** Other Information

## II.H.1. Program Announcement and General Application Instructions Versions

Questions related to this Program Announcement should refer to the Program name, the Program Announcement name, and the Program Announcement version code 20180329c. The Program Announcement numeric version code will match the General Applications Instructions version code 20180329.

#### II.H.2. Administrative Actions

After receipt of pre-applications or applications, the following administrative actions may occur:

## II.H.2.a. Rejection

The following will result in administrative rejection of the pre-application:

• Preproposal Narrative is missing.

The following will result in administrative rejection of the application:

- Submission of an application for which a letter of invitation was not received.
- Project Narrative exceeds page limit.
- Project Narrative is missing.
- Budget is missing.
- Submission of the same research project to different Funding Opportunities within the same program and fiscal year. Refer to <u>Section II.D</u>, <u>Application and Submission Information</u>, for exceptions.
- **Partnering PI Option:** Failure to submit both associated (Initiating and Partnering PI) applications by the deadline.

## II.H.2.b. Modification

- Pages exceeding the specific limits will be removed prior to review for all documents other than the Project Narrative.
- Documents not requested will be removed.

### II.H.2.c. Withdrawal

The following may result in administrative withdrawal of the pre-application or application:

- An FY18 PRMRP Programmatic Panel member is named as being involved in the research proposed or is found to have assisted in the pre-application or application processes including, but not limited to, concept design, application development, budget preparation, and the development of any supporting documentation. A list of the FY18 PRMRP Programmatic Panel members can be found at <a href="http://cdmrp.army.mil/prmrp/panels/panels18">http://cdmrp.army.mil/prmrp/panels/panels18</a>.
- The application fails to conform to this Program Announcement description to the extent that appropriate review cannot be conducted.
- Inclusion of URLs, with the exception of links in References Cited and Publication and/or Patent Abstract sections.
- Page size is larger than 8.5 inches x 11.0 inches (approximately 21.59 cm x 27.94 cm).
- To preserve the integrity of its peer and programmatic review processes, the CDMRP discourages inclusion of any employee of its review contractors having any role in the preparation, research or other duties for submitted applications. For FY18, the identities of the peer review contractor and the programmatic review contractor may be found at the CDMRP website (<a href="http://cdmrp.army.mil/about/2tierRevProcess">http://cdmrp.army.mil/about/2tierRevProcess</a>). Applications that include names of personnel from either of these companies will be administratively withdrawn unless plans to manage COIs are provided and deemed appropriate by the Grants Officer. Refer to the General Application Instructions, Appendix 3, for detailed information.
- Personnel from applicant or collaborating organizations are found to have contacted persons involved in the review or approval process to gain protected evaluation information or to influence the evaluation process.
- Applications from extramural organizations, including non-DoD Federal agencies, received through eBRAP may be withdrawn.
- Applications submitted by an intramural DoD organization may be withdrawn if the intramural organization cannot coordinate the use of contractual, assistance, or other appropriate agreements to provide funds to extramural collaborators.
- The proposed research project does not address at least one of the Congressionally directed FY18 PRMRP Topic Areas.
- If a clinical trial is proposed, the application will be withdrawn.
- An application submitted by a PI who does not meet the eligibility criteria will be withdrawn.

## II.H.2.d. Withhold

Applications that appear to involve research misconduct will be administratively withheld from further consideration pending organizational investigation. The organization will be required to provide the findings of the investigation to the USAMRAA Grants Officer for a determination of the final disposition of the application.

# II.H.3. Application Submission Checklist

Application Components	Action	Initiating PI Completed	Partnering PI Completed
SF424 (R&R) Application for Federal Assistance (Extramural submissions only)	Complete form as instructed.		
Summary (Tab 1) and Application Contacts (Tab 2) (Intramural submissions only)	Complete these tabs as instructed.		
Attachments	Project Narrative: Upload as Attachment 1 with file name "ProjectNarrative.pdf."		
	Supporting Documentation: Upload as Attachment 2 with file name "Support.pdf."  Technical Abstract: Upload as		
	Attachment 3 with file name "TechAbs.pdf."  Lay Abstract: Upload as Attachment 4		
	with file name "LayAbs.pdf."  Statement of Work: Upload as Attachment 5 with file name		
	"SOW.pdf."  Impact Statement: Upload as Attachment 6 with file name		
	"Impact.pdf."  Military Relevance Statement: Upload		
	as Attachment 7 with file name "MilRel.pdf."  Partnership Statement: Upload as		
	Attachment 8 with file name "Partnership.pdf," if applicable. Representations (Extramural		
	submissions only): Upload as Attachment 9 with file name "MandatoryReps.pdf," if applicable.		
	DoD Military Budget Form(s): Upload as Attachment 10 with file name "MFBudget.pdf," if applicable.		
Research & Related Personal Data	Complete form as instructed.		

Application Components	Action	Initiating PI Completed	Partnering PI Completed
Research & Related Senior/Key Person Profile (Expanded)	Attach PI Biographical Sketch (Biosketch_LastName.pdf) to the appropriate field.  Attach PI Previous/Current/Pending Support (Support_LastName.pdf) to the appropriate field.  Attach Biographical Sketch (Biosketch_LastName.pdf) for each senior/key person to the appropriate field.  Attach Previous/Current/Pending (Support_LastName.pdf) for each senior/key person to the appropriate field.		
Research & Related Budget (Extramural submissions only)	Complete as instructed. Attach Budget Justification (BudgetJustification.pdf) to the appropriate field.		
Budget (Intramural submissions only)	Complete the DoD Military Budget Form and Justification.		
Project/Performance Site Location(s) Form	Complete form as instructed.		
R&R Subaward Budget Attachment(s) Form	Complete form as instructed.		

### APPENDIX 1: ACRONYM LIST

ACOS/R&D Associate Chief of Staff for Research and Development

ACURO Animal Care and Use Review Office

CDMRP Congressionally Directed Medical Research Programs

CFR Code of Federal Regulations

COI Conflict of Interest
DHA Defense Health Agency
DHP Defense Health Program
DoD Department of Defense

DoDGAR Department of Defense Grant and Agreement Regulations

DUNS Data Universal Numbering System

eBRAP Electronic Biomedical Research Application Portal

EC Ethics Committee ET Eastern Time

FAD Funding Authorization Document

FAPIIS Federal Awardee Performance and Integrity Information System

FDA Food and Drug Administration

FY Fiscal Year

HRPO Human Research Protection Office

IACUC Institutional Animal Care and Use Committee

IDE Investigational Device Exemption

IND Investigational New Drug
IRB Institutional Review Board

M Million

MIPR Military Interdepartmental Purchase Request

OASD(HA) Office of the Assistant Secretary of Defense for Health Affairs

OMB Office of Management and Budget

ORCID Open Researcher and Contributor ID, Inc.

ORP Office of Research Protections

PI Principal Investigator

PRMRP Peer Reviewed Medical Research Program
RDT&E Research, Development, Test, and Evaluation

SAM System for Award Management

SOW Statement of Work

USAMRAA U.S. Army Medical Research Acquisition Activity
USAMRMC U.S. Army Medical Research and Materiel Command

USC United States Code

VA Department of Veterans Affairs

#### APPENDIX 2: AREAS OF ENCOURAGEMENT

Applications addressing any of the FY18 PRMRP Topic Areas are of interest to the program. Any aspect of research relevant to one or more of the FY18 PRMRP Topic Areas may be considered for funding. Areas of Encouragement related to the FY18 PRMRP Topic Areas have been identified by the DoD, VA, and other relevant stakeholders. Applicants are urged to read and consider these Areas of Encouragement before preparing their applications. The information provided is not exhaustive, and applicants are not restricted to submitting applications that address an Area of Encouragement in this list.

## **Acute Lung Injury**

- Research on the etiology and prevention of acute lung injury (ALI)/acute respiratory distress syndrome (ARDS) caused by the host's (e.g., immune system's) responses to trauma, transfusion, burns, infection, hemorrhagic shock, inhalation, and/or oxygen exposure.
- Novel and/or innovative detection technologies or therapeutics to reduce the incidence and/or severity of ALI/ARDS and/or other lung injury secondary to trauma, transfusion, infection, burns, hemorrhagic shock, inhalation, and/or oxygen exposure.
- Strategies to stabilize and support the safe transport of patients with ALI/ARDS in order to
  optimize therapeutic interventions, particularly in operational scenarios requiring prolonged
  field care and/or longer transport times.
- Development of metrics to associate the long-term health outcomes of ALI/ARDS with physiological and physical performance.

## **Antimicrobial Resistance**

- Development of novel and/or innovative interventions, diagnostics, and treatment for multidrug-resistant pathogens, especially those that can be used in austere settings.
- Development of novel and/or innovative therapies that prevent and/or interrupt biofilm production in wound infections and infected hardware models.
- Development and testing of treatment protocols and/or diagnostic tests to limit prescribing antibiotics for conditions that are commonly viral in nature or conditions that would resolve themselves without antibiotic treatment (e.g., sinusitis, bronchitis, viral upper respiratory infections, and acute gastroenteritis).
- Development and evaluation of therapies to treat travelers' diarrhea to improve the time to clinical cure and minimize resistance acquisition.
- Development of gene editing tools (e.g., designer nucleases) that optimize treatment and raise the threshold for resistance of anti-infective agents.

## **Arthritis (other than Rheumatoid Arthritis or Post-Traumatic Osteoarthritis)**

• Research toward the development of clinical practice guidelines to prevent, identify, and treat arthritis.

- Research quantifying the impacts of obesity, weight loss, physical fitness (all components including cardiovascular, strength, flexibility, balance), and dietary factors on the development of or prevention/risk reduction of arthritis.
- Studies to examine using regenerative medicine techniques and therapies (including cell-based therapies) to prevent or treat osteoarthritis, including dose response information and the frequency and timing of application.
- Basic and translational research to identify treatments to mitigate and/or reverse osteoarthritis, particularly in the knee, hip, ankle, and shoulder.
- Identification and/or validation of biomarkers for early psoriatic arthritis.
- Research to establish activity recommendations for maximal joint life following joint repair, particularly in young patient populations.

## **Burn Pit Exposure**

- Research on the etiology and treatment of adverse health events related to military deployment to Iraq and Afghanistan that are associated with exposure to airborne hazards and open pit burning of solid waste and other materials.
- Toxicological studies to characterize emissions from open air burns, burn boxes, and incinerators and ascertain the toxicity and mechanisms of action for injury from such chemicals and airborne environmental dust and mixtures, as well as interactions among pollutants and particulate materials.
- Identification and validation of biomarkers of both exposure to and health effects of burn pit combustion products, burning biomass and refuse, and geogenic dusts.
- Development and validation of instruments for assessing (including in real time) levels
  of exposure to airborne hazards for use in research and for occupational and
  environmental exposures monitoring.

## Cardiomyopathy

- Improve understanding of the pathophysiology of cardiomyopathies.
- Strategies to identify risk factors associated with the development of cardiomyopathy (i.e., genetic, lifestyle, exposure) in the civilian and/or military populations.
- Improve diagnosis and treatment of primary and secondary cardiomyopathies.
- Assess the multiple etiologies of cardiomyopathy (e.g., hypertension, ischemia, hemochromatosis, sleep apnea, radiation therapy, medications, smallpox vaccine, infections) in military Service members.

### Cerebellar Ataxia

- Research to improve understanding of all causes of cerebellar ataxia.
- Research to improve understanding of the association between nutrition and cerebellar ataxia.
- Research to better understand the role of physical rehabilitation/exercise in affecting postural disorders, balance, and coordination in cerebellar ataxia patients.

• Research to identify therapeutic targets and novel therapeutic modalities, including gene silencing/gene editing.

## **Chronic Migraine and Post-Traumatic Headache**

- Precision medicine research to investigate, develop, and validate biomarkers that are not only
  useful in diagnosing and monitoring traumatic brain injury patients with chronic migraine or
  post-traumatic headache, but can also identify individual responses to treatment.
- Epidemiological/natural history studies to characterize specific types of post-traumatic headache, the pathobiology of these headaches (such as the role of acute cortical spreading depression after injury as a risk factor for chronic headaches of a migrainous type), and the risk factors that might predispose people to certain types of post-traumatic headache.
- Research on the optimal approaches to effective management of acute and chronic pain management, and co-occurring psychological health disorders, for chronic migraine and posttraumatic headache, with a focus on assessing and eliminating adverse outcomes and decreasing polypharmacy.
- Evaluation of the use of mechanical stimulation and/or other non-pharmaceutical treatments to reduce acute and chronic migraines and headaches.
- Evaluation of the differences in etiology, diagnosis, treatment, and prevention of migraine headaches between men and women.

### **Chronic Pain Management**

- Develop and validate a user-friendly, objective pain measurement tool (e.g., instrument, questionnaire, scale) to assess the severity of pain and to inform pain management clinical decisions.
- Development of tools to objectively assess and/or prevent pain chronification.
- Research to advance understanding of the impact of psychosocial factors and comorbidities on pain chronification.
- Research on non-addictive and/or alternative methods to treat and manage chronic pain, particularly in complex patients (i.e., chronic, high-utilization, polypharmacy patients).
- Research to understand the mechanisms that sustain or resolve chronic pain.

## **Congenital Heart Disease**

- Development of approaches, including tissue engineering, that provide structural support, restore native activity, allow for tissue growth, and prevent the need for reoperation.
- Research to improve understanding of the causes of congenital heart defects, including genomic, proteomic, and metabolomic profiling.
- Research to design and implement disease-in-a-dish and/or microfluidic models with an established phenotype to increase the efficacy of finding novel and/or innovative drug targets, screen exiting drugs, perform cardiotoxicity testing, and/or uncover pathogenesis.
- Research both on the risk of neurologic injury and on enhanced neuroprotection before, during, and after surgery for congenital heart disease.

• Population-based and outcomes-based research to assess the health outcomes of individuals with congenital heart disease across their life spans.

### **Constrictive Bronchiolitis**

- Research to understand the role of occupational and environmental exposures, including
  military relevant volatile compounds, mineral and soil dusts, and other airborne particulates,
  in the etiology of constrictive bronchiolitis.
- Clinical assessments to determine the prevalence and severity of constrictive bronchiolitis
  and related respiratory diseases in previously deployed military Service members and/or
  Veterans.
- Development and testing of minimally invasive and non-invasive approaches for diagnosing constrictive bronchiolitis.
- Research to develop novel and/or innovative therapeutics to slow or reverse the progression of constrictive bronchiolitis.
- Development and/or validation of animal models for understanding mechanisms and etiology of constrictive bronchiolitis.

### **Diabetes**

- Research to better understand the heterogeneity of diabetes, including the identification of novel biomarkers.
- Identification and/or evaluation of interventions to reduce the development of diabetes among individuals meeting the clinical criteria for prediabetes.
- Research on interventions to prevent or treat diabetes complications, including diabetic retinopathy, nephropathy, neuropathy, and impaired wound healing.
- Research on the transplantation of allogenic or autologous pancreatic islet cells for long-term natural insulin production, including current good laboratory/clinical/manufacturing practices (as needed) for cell line development.
- Research to design and implement disease-in-a-dish and/or microfluidic models to model pancreatic islets to uncover pathogenesis and improve the efficiency of drug discovery.

### **Dystonia**

- Research to improve identification of delayed onset dystonia following traumatic brain injury.
- Research on the risk, incidence, and etiology of generalized dystonia, focal dystonia, multifocal dystonia, segmental dystonia, and/or hemidystonia.
- Research on interventions to prevent, slow the progression of, or treat dystonia.

## **Eating Disorders**

• Investigations into the prevalence, diagnosis, and treatment patterns of eating disorders in Service members and their families, including potential relationships with military-unique behaviors or conditions.

- Assessment of patterns of comorbidity between eating disorders and other mental health conditions, including an examination of whether eating disorders are more likely to precede or follow the development of other mental health conditions.
- Studies to identify the most effective treatments (and order of treatment) for patients with an eating disorder and a comorbid disorder.
- Research to advance the understanding of the biological, genetic, and environmental factors that influence eating disorders.
- Studies to elucidate and/or mitigate risk factors for the onset or recurrence of eating disorders, including the influence of social media.

## **Emerging Infectious Diseases**

- Development of biomarker-guided clinical management strategies for severe infectious diseases in austere settings.
- Development of rapid, specific, sensitive, and broadly applicable diagnostic methods for emerging pathogens including SARS, H1N1, Ebola, Zika, malaria, dengue, chikungunya, and others.
- Development of risk assessment for vector-borne diseases and novel strategies for vector
  control, including, but not limited to, novel insecticides, larvicide applications, and barrier
  methods. Target vectors include major disease dipteran (including but not limited to vectors
  such as mosquitoes and sand flies) and non-dipteran (including but not limited to reduviids
  and fleas) and other arthropods of military medical importance (e.g., ticks).
- Surveillance and modeling of epidemics and development of strategies to counter risks in emerging infectious diseases.
- Development of broad-acting detection systems to identify emerging members of a known viral family (e.g., a broad coronavirus panel that can detect Middle Eastern Respiratory Syndrome).

### **Endometriosis**

- Discovery and identification of new and/or validation of existing endometriosis-associated biomarkers for accurate, minimally invasive diagnosis.
- Research to elucidate the pathogenesis, evolution, pathophysiology, infertility, and pelvic pain associated with endometriosis.
- Development of novel treatments, including non-opioid pain therapies, or alternative therapies to alleviate symptoms and reduce secondary effects of endometriosis.
- Studies assessing the environmental etiology of endometriosis.

## **Epidermolysis Bullosa**

Research to provide further insight into those cellular pathways that promote the
development of squamous cell carcinomas in recessive dystrophic and junctional
epidermolysis bullosa.

- Research, including clinical trials, focused on therapeutics (topical or systemic) or dressings that enhance wound healing in inherited epidermolysis bullosa.
- Research, including clinical trials, focused on systemic drugs that prevent, delay the onset, or
  modify the aggressiveness of squamous cell carcinoma in patients with recessive and
  junctional epidermolysis bullosa.
- Development of novel therapeutics to cure epidermolysis bullosa, reduce epidermolysis bullosa symptoms, or improve quality of life.

## **Focal Segmental Glomerulosclerosis**

- Research to improve understanding of the causes of primary and/or secondary focal segmental glomerulosclerosis, especially genetic mutations.
- Development of non-invasive methods to diagnose focal segmental glomerulosclerosis and its variants.
- Development of a curative therapy or treatments to delay or halt the progression of focal segmental glomerulosclerosis and/or prevent post-transplantation recurrence.
- Research to determine the efficacy of medications used off-label (i.e., outside the FDA-approved indication) to treat focal segmental glomerulosclerosis.

## Fragile X

- Development and evaluation of gene modification (e.g., gene editing or gene reactivation) therapeutics for the treatment of fragile X syndrome [including fragile X-associated tremor/ataxia syndrome (FXTAS) and fragile X-associated primary ovarian insufficiency (FXPOI)].
- Identification and validation of functional measures of the manifestations of fragile X syndrome (including FXTAS and FXPOI) across the life span.
- Research to advance the understanding of the pathophysiology/natural history or life course of fragile X syndrome (including FXTAS and FXPOI).
- Identification of novel targets and/or testing novel or existing therapeutics (e.g., repurposing drugs) for fragile X syndrome (including FXTAS and FXPOI).
- Research to establish the benefits of early diagnosis/early treatment of fragile X syndrome in patients and progeny.
- Development of a preclinical model that is representative of human fragile X syndrome.

## **Frontotemporal Degeneration**

- Basic research to establish in vivo and in vitro models for disease pathology, behavioral/cognitive symptoms, and motor dysfunction.
- Research to understand the neurological basis of deficits in social cognition and emotional regulation.
- Research to identify biomarkers and/or improve diagnostics for frontotemporal degeneration.

- Research to identify risk factors (e.g., gene networks, environmental factors).
- Development of evidence-based non-pharmacological and/or pharmacological treatments for behavioral, cognitive, speech, and/or motor dysfunctions of frontotemporal degeneration.

### Guillain-Barré Syndrome

- Research on the immune system cell types and molecular mechanisms responsible for the pathology of Guillain-Barré syndrome.
- Research to elucidate the characteristics of various exposures (e.g., viruses, bacteria, vaccinations, surgery, trauma) associated with Guillain-Barré syndrome and their effects on the immune system.
- Research to prevent or reduce the effects of residual weakness, relapse of muscle weakness, and other neurological and psychological symptoms of Guillain-Barré syndrome to improve patients' quality of life and increase their independence.
- Development of new treatments and refinement of existing treatments for Guillain-Barré syndrome.

## Hepatitis B and C

- Development of a vaccine against hepatitis C.
- Identification and reduction of hepatitis in blood products for transfusion.
- Research on strategies to reduce vertical (mother-to-child) transmission of hepatitis B virus and hepatitis C virus.
- Development of strategies for reliable, non-invasive, early detection of hepatitis-related liver disease and hepatocellular carcinoma.
- Research on strategies to promote reversal of liver fibrosis and/or assess the associated clinical and pathological outcomes.
- Clinical studies to evaluate combination or curative therapies for treatment of hepatitis B infection.

#### Hereditary Angioedema

- Research toward the development of a cure for hereditary angioedema.
- Development and/or validation of novel and/or innovative therapeutic strategies for the treatment and/or prevention of hereditary angioedema attacks.
- Research to improve early diagnosis of hereditary angioedema.
- Evaluation of existing or novel and/or innovative therapeutics in pediatric hereditary angioedema patients.

## **Hydrocephalus**

 Research on the etiology, prevention, diagnosis, and treatment of post-traumatic hydrocephalus.

- Discovery or validation of novel and/or innovative therapies and therapeutic targets for the treatment of hydrocephalus and its sequelae, including therapies directed at myelin regeneration and repair.
- Development or validation of biomarkers and imaging techniques, particularly multimodal approaches, to aid in diagnosis, prognosis, and monitoring of therapeutic efficacy.
- Research on the prevention of shunt failure.
- Development or validation of improved hydrocephalus model systems.

## **Immunomonitoring of Intestinal Transplants**

- Studies to elucidate the role of the mucosal immune system, humoral, innate, and adaptive cellular immunity, other host-derived factors, or gut microbiota-derived factors in maintaining intestinal transplant viability and improving outcomes.
- Development and evaluation of evidence-based intestinal transplant strategies that focus on dampening the regional immune response against intestinal transplants or circumvent the induction of immunity against the transplant.
- Development and evaluation of implant-associated materials (e.g., scaffolds) with antiinflammatory properties that protect the intestinal transplant from immune attack.
- Development and evaluation of strategies for inducing and maintaining populations of antiinflammatory regulatory immune cell populations at the transplant site.
- Studies to improve immunomonitoring of recipient immune responses after intestinal transplantation, with a focus on prospective leukocyte profiling, to aid in diagnosis and treatment of immunological and immunosuppression-related complications.
- Development and/or validation of precise, real-time implanted monitoring devices to improve individualized patient outcomes after intestinal transplantation.

## **Inflammatory Bowel Diseases**

- Studies directed toward understanding how acute enteric infections may trigger chronic bowel diseases with acute and sub-acute inflammatory bowel disease, including genomic, microbiomic, immune mechanisms, and systems biology approaches.
- Studies to understand the interaction between acute/chronic stress and infection and the development of inflammatory bowel disease.
- Research to better characterize the association between the use of drugs, such as isotretinoin and long-term doxycycline, and the development of inflammatory bowel disease.
- Research on the role of diet in the development and progression of inflammatory bowel disease.
- Research on the influence of the microbiome on inflammatory bowel disease.
- Research on treatment strategies for patients with inflammatory bowel disease, including those who are refractory to standard care.

## **Interstitial Cystitis**

- Studies that define the risk, prevalence, and operational impact of interstitial cystitis among active duty personnel.
- Identification of biological markers for making a definitive diagnosis of interstitial cystitis.
- Evaluation and assessment of novel and/or innovative treatment options for interstitial cystitis patients, including intravesical therapy.
- Research on the etiology of interstitial cystitis to inform targeted therapy development.

## **Lung Injury**

- Studies to identify the prevalence and associated morbidity and mortality of blast overpressure lung injury.
- Development of improved methods for assessing lung injury due to chemical or physical (e.g., radiation) hazards and materials in occupational, operational, and training environments to improve surveillance, diagnosis, and prognosis.
- Development of improved methods for monitoring pulmonary exposure to chemical or physical agents that might cause lung injury.
- Identification of factors that predispose an individual's susceptibility to lung injury resulting from environmental exposures (i.e., genetic predisposition).
- Development of preventive techniques, novel and/or innovative detection technologies, and therapeutics to reduce the incidence and/or severity of lung injury.

#### Malaria

- Investigation of mechanisms of drug resistance in malaria, to include host, pathogen, and region-specific resistance against drugs used for treatment and prophylaxis.
- Development of passive immunization approaches for the management of malaria.
- Development of malaria prophylactic regimens that encourage higher compliance and methods to monitor compliance in deployed Service members.
- Identification of novel and/or innovative malaria drug targets for blood and liver stage malaria parasites.
- Development of a vaccine that induces high levels of long-lived, broadly protective immunity against *Plasmodium falciparum* and/or *Plasmodium vivax*.

## **Metals Toxicology**

- Identification and validation of biomarkers to evaluate military Service members' acute exposure to toxic metals in an operational environment and predict potential consequent health risks and associated health outcomes.
- Retrospective studies to evaluate risks and exposure to military-relevant toxic metals among workers at industrial facilities.
- Research on the toxicity of metal combinations and the interaction between different metal components.

 Research on the toxicity of metal-based engineered nanomaterials, including those used in military applications.

#### **Mitochondrial Disease**

- Identification and testing of non-invasive techniques and biomarkers to monitor mitochondrial function, aid in clinical diagnosis, and/or evaluate therapeutic efficacy.
- Development of improved tools and animal models to study primary mitochondrial diseases and evaluate therapeutics.
- Research to better understand the pathology of primary mitochondrial diseases.
- Development of tools and methodologies to assess mitochondrial heteroplasmy at the cellular, tissue, and organ levels.
- Research on novel and/or innovative treatments to alleviate symptoms or slow down the progression of mitochondrial diseases.

#### Musculoskeletal Disorders

- Research to increase understanding and improve diagnosis, prevention, and/or treatments of chronic overuse musculoskeletal disorders.
- Research on measures (e.g., clinical biomarkers, novel/innovative interventions) to diagnose, predict, reduce the incidence of, or optimize health or return-to-duty outcomes in military training- and Service-related musculoskeletal disorders.
- Research on the validation and/or optimization of rehabilitation strategies for musculoskeletal disorders.
- Research to prevent, control, and/or optimize musculoskeletal health outcomes for workrelated musculoskeletal disorders.
- Research on back pain treatment and/or management strategies to prevent surgery and recurrence of symptoms, identify factors that predict optimal treatment response for different patients, and encourage self-management.

## **Myotonic Dystrophy**

- Research on the role of epigenetic factors in the onset, progression, and/or severity of
  myotonic dystrophy in relevant animal models or patients.
- Research into the mechanisms of expanded CTG or CCTG repeat instability in somatic or germline cells in myotonic dystrophy.
- Identification of biomarkers that can be detected through minimally invasive means to signal early changes in the progression of myotonic dystrophy.
- Development and/or testing of novel and/or innovative treatments, including those utilizing gene editing or silencing.
- Clinical research into the natural history of myotonic dystrophy, to understand disease progression and develop/validate clinical trial endpoint measures across the multiple organ systems involved in the disease.

## **Non-Opioid Pain Management**

- Development of non-opioid pain management therapies, including complementary and alternative medicine approaches.
- Research to increase understanding and prevention of the progression from acute pain to chronic pain.
- Research to identify and address biopsychosocial aspects of pain to reduce or eliminate the use of opioid pain medication(s).
- Research on pain management strategies for patients with limited access to skilled providers and resources, including battlefield, prolonged field, transport, and other resource-limited environments.
- Development of non-opioid pain medicine that can be given via inhalation or intramuscularly, submucosally, or intravenously on the battlefield to provide adequate relief from pain without affecting the cardiorespiratory system.

## **Nutrition Optimization**

- Development and/or validation of nutrition-based strategies that mitigate the consequences of operational stressors on Service member health, readiness, and performance.
- Research on the impact of dietary supplements (e.g., vitamin supplements, probiotics, protein powders) on the physical and/or cognitive performance, including the readiness of military Service members.
- Development and/or validation of improved nutrition strategies for physical and/or cognitive performance enhancement and sustainment in the operational environment.
- Development of tools or devices to monitor nutritional intake at an individual level to promote a culture of wellness.
- Strategies to apply metabolomics to optimize nutrition, including improving Warfighter performance in training and operational environments.
- Research to optimize nutrition in resource-limited settings.

#### **Pancreatitis**

- Development and testing of novel and/or innovative therapeutics for acute and/or chronic pancreatitis.
- Research on the basic biology and physiology of the pancreas to better understand the etiology and pathology of pancreatitis.
- Research to improve understanding and management of complications of pancreatitis.
- Retrospective studies to determine the risk and incidence of pancreatitis among former and current active duty personnel.

## **Pathogen-Inactivated Blood Products**

• Research on lyophilization of pathogen reduced/inactivated blood products and derivatives (platelets, plasma, red cells, cryoprecipitate, coagulation factors, etc.).

- Development and advancement of technology to improve the safety of blood products to include pathogen reduction/inactivation in whole blood for military/civilian blood donor centers and blood banks that meets the requirements for FDA licensure in support of domestic and global contingency/combat operations.
- Expansion and validation of the library of blood-borne pathogens that are reduced/inactivated
  to include emerging pathogens, genetically modified pathogens, and pathogens designed for
  biological warfare.
- Advancement in pathogen reduction technology to further improve the log-killed reduction for blood-borne pathogen of common interest (e.g., hepatitis B, Korean hemorrhagic fever virus, Bunyavirus, human immunodeficiency virus [HIV], Rift Valley fever, malaria, Babesia, Ebola, West Nile virus, dengue, chikungunya, Zika virus).
- Research studies, including clinical trials, to further characterize the effects of pathogen reduction technology in blood products (e.g., whole blood, platelets, plasma, cryoprecipitate).
- Development and validation of next generation technology and/or devices to reduce the production time for pathogen reduction/inactivation in whole blood.

#### **Post-Traumatic Osteoarthritis**

- Research into cell-based approaches for treatment or prevention of post-traumatic osteoarthritis.
- Development or validation of novel and/or innovative approaches to restoring joint stability after injury.
- Studies to evaluate and develop best practices for multidisciplinary team approaches and treatment algorithms for post-traumatic osteoarthritis.
- Sustained release, intra-articular injectable steroidal, non-steroidal, or disease-modifying therapies that offer two or more months of symptomatic relief of pain and/or inflammation in a single injection.
- Research on therapies that target multiple phases of the cellular response pathways that are implicated in the development of post-traumatic osteoarthritis, including cell death, inflammation, matrix changes, and changes in catabolic and anabolic responses.

### **Pressure Ulcers**

- Novel strategies for the treatment (including mitigation of the advancement of stages) of pressure ulcers.
- Novel strategies for the prevention or early detection of pressure ulcers.
- Strategies to prevent or reduce the formation of pressure ulcers during long-range transport/aeromedical evacuation.
- Research on novel techniques for synthetic production, delivery, and adhesion methodologies leading to permanent closing of pressure ulcers. This might encompass synthetic fibers, novel tissue culture methodologies, growth factors, dermal printing, artificial skin, skin graft substitutes, regenerative medicine, etc.

## **Pulmonary Fibrosis**

- Identification of biomarkers of pulmonary injury or early predictors of interstitial lung disease.
- Development and/or validation of improved tools and animal models (excluding mice) to study pulmonary fibrosis and evaluate therapeutics.
- Research into the pathobiology and molecular mechanisms underlying the development and progression of pulmonary fibrosis.
- Retrospective studies to determine the risk and incidence of pulmonary fibrosis among military Service members and Veterans.
- Development and/or testing of novel and/or innovative treatments, to include precision medicine approaches, to delay or modify the progression of pulmonary fibrosis.

## **Respiratory Health (excluding lung cancer and mesothelioma)**

- Research on the causes, treatment, and prevention of chronic obstructive pulmonary disease (COPD), including identification and validation of biomarkers and disease phenotypes, as well as employing personalized medicine approaches in clinical research and disease management.
- Research on the cause, treatment, and prevention of respiratory symptoms and ailments possibly associated with deployment and redeployment military personnel.
- Research to evaluate the impact of military service, primarily deployment, on the prevalence and severity of respiratory disease.
- Identification and/or validation of biochemical, physiological, or combined biomarkers for evaluating risk or extent of injury from either acute or long-term toxic occupational or environmental exposures.
- Research investigating exposure rates, detection, and treatment of diseases related to inhalation of mold and fungi, such as coccidioidomycosis, from both indoor and outdoor sources.

## **Rett Syndrome**

- Identification and/or validation of novel and/or innovative biological targets for the treatment of Rett syndrome.
- Development and testing of interventions to improve the neurological symptoms of Rett syndrome.
- Research to understand the relationship between genetic mutations, physical traits, and symptoms in individuals with Rett syndrome.
- Research to understand Rett syndrome's commonalities with, and differences from, classic autism and regressive autism.
- Research on the pathobiology of MeCP2 and associated genes and proteins.

#### **Rheumatoid Arthritis**

- Research to better understand the relationship between genetic risk and environmental triggers, such as infection or smoking, in developing rheumatoid arthritis.
- Studies that identify or validate biomarkers or personalized medicine strategies that allow for individualized medication choice based on the patient's underlying biology or disease state.
- Research on the long-term use of immunosuppressive and other therapies in patients with rheumatoid arthritis.
- Research to better characterize and understand the preclinical disease stage of rheumatoid arthritis for early diagnosis and treatment.
- Research on management of comorbidities, including biopsychosocial outcomes, for patients with rheumatoid arthritis.
- Research to establish activity recommendations following joint replacement for maximal joint life.

#### Scleroderma

- Research on the molecular mechanisms and pathogenesis of scleroderma, including the identification of novel and/or innovative therapeutic targets.
- Development and/or validation of novel and/or innovative therapies for scleroderma.
- Identification and/or validation of biomarkers and other approaches for early diagnosis, monitoring disease progression, and/or assessment of treatment response.
- Epidemiologic studies investigating the impact of localized scleroderma (morphea) on duty performance, use of personal protective equipment, and deployability of military personnel.

## **Sleep Disorders**

- Research on how the disruption of normal sleep and circadian rhythms adversely affects the physical and psychological health, safety, performance, and productivity of military personnel and civilian populations, including sex and gender differences.
- Identification and/or validation of non-Continuous Positive Airway Pressure (CPAP)-based treatment regimens that enhance compliance in military personnel and civilian populations.
- Research on the prevention and/or mitigation of sleep disorders that are associated with long aeromedical evacuation flights for both clinical team members and patients.
- Development and/or testing of non-pharmacological treatments for sleep disorders associated with long-term exposure to enclosed environments (e.g., aircraft, submarines, tanks).

## **Spinal Muscular Atrophy**

- Research to conduct molecular and proteomic phenotyping of spinal muscular atrophy disease states.
- Research to determine mitochondrial involvement and astrocytic and other non-neuronal contributions to motor neuron vulnerability.

- Exploration of the form and function of SMN-depleted neuromuscular junctions at ultrastructural (e.g., dysregulation of endocytosis), transcriptomic, and proteomic levels, particularly the mildest SMN reduction that leads to consistent quantifiable motor neuron loss.
- Research to find non-SMN-altering spinal muscular atrophy modifying genes that may lead to identification of novel and/or innovative therapeutic targets or treatments.
- Research to further understand SMN gene regulation and post-transcriptional mechanisms leading to synergistic SMN-repleting approaches, as well as to determine whether boosting SMN induction maximizes efficacy.

## **Sustained-Release Drug Delivery**

- Development of technology that can provide long-term (for up to one week or more) sustained-release delivery of oral drugs. Potential applications of this technology could include long-term delivery of agents for post-traumatic stress disorder, opiate dependence, low-dose pain control, allergies, attention deficit/hyperactivity disorder, chemoprophylaxis, and other conditions.
- Development of a delivery system (including novel GMP-grade biomaterials) that could accurately deliver prescription and non-prescription medications.
- Development of novel and/or innovative approaches for bioavailable and sustained-release oral formulations of existing broad-spectrum fungicidal, antimicrobial, antiparasitic, and antiviral medications.
- Development of a sustained release formulation of anti-tuberculosis drugs that would facilitate long-term treatment and reduce the emergence of resistance due to poor compliance.
- Research into techniques to provide sustained release of drugs in tissue repair applications, such as bone or nerve regeneration or vision restoration.

### **Tinnitus**

- Development and validation of objective tools/methods to diagnose and characterize tinnitus (e.g., imaging techniques to identify functional and structural changes in the brain, biomarkers of resiliency, and susceptibility to tinnitus).
- Research to understand the mechanisms of acute tinnitus, its relationship to noise-induced hearing loss, and progression to chronic tinnitus, with the focus on developing interventions.
- Research to understand and mitigate the negative impact of tinnitus on operational readiness of the military.
- Identification of novel and/or innovative therapies and/or devices for interventions to prevent, manage, and treat tinnitus, including behavioral approaches, new uses for existing drugs, nutritional and pharmaceutical strategies, and acoustic, electrical, and other stimulation technologies.

## **Tissue Regeneration**

- Development of novel therapies to repair neurosensory damage, maintain the distal end organ interface, or regenerate the neuromuscular junction for reinnervation of end organs during peripheral nerve regeneration.
- Development of novel therapies for regeneration of tendons and musculotendinous junctions.
- Development of novel therapies for regeneration of functional skeletal muscle, particularly stem cell-based approaches and treatments for volumetric muscle loss.
- Development and improvement of extended-time tissue preservation therapies and technologies for ischemia-reperfusion injury prevention and treatment.
- Research on novel approaches and therapies to understand mechanisms of immune rejection and obviate the need for chronic toxic immunosuppression in reconstructive transplantation and vascularized composite allotransplantation.
- Research into innovative methods for developing biocompatible scaffolds and stem cell therapies for manufacturing and production of tissues.

### **Tuberculosis**

- Development of a diagnostic assay that can be used at the point of care to rapidly and accurately diagnose tuberculosis to include multidrug-resistant tuberculosis or extensively drug-resistant tuberculosis.
- Development of novel and/or innovative tuberculosis vaccines or optimization of current tuberculosis vaccines.
- Research to understand, diagnose, or treat multidrug-resistant tuberculosis or extensively drug-resistant tuberculosis.

### **Vaccine Development for Infectious Diseases**

- Development of a therapeutic vaccine and/or other strategies for infectious diseases.
- Identification of correlate(s) of immunity against dengue, malaria, and other infectious agents and development of a surrogate test of protection.
- Development and fielding of vaccines to prevent Service members from becoming ill from endemic disease exposure during operational deployments. This includes, but is not limited to, Zika, dengue, chikungunya, hantavirus hemorrhagic fever with renal/pulmonary syndrome, rickettsioses, trypanosomiasis, leptospirosis, HIV, norovirus, Middle East Respiratory Syndrome, coronavirus, schistosomiasis, leishmaniasis, nipah, Lassa fever, and West Nile fever.
- Development of flexible vaccine technologies that can be used to rapidly respond to emerging and re-emerging infectious diseases threats.
- Evaluation of passive immunization strategies to use in conjunction with emerging infectious disease vaccinations.

### **Vascular Malformations**

• Studies into the natural history, genetics, and pathogenesis of vascular malformations.

- Research to improve methods to diagnose and manage vascular malformations.
- Research to discover or develop novel and/or innovative therapeutic targets and treatments to regress or prevent vascular malformations.
- Development of non-invasive or minimally invasive technologies or approaches for the control of internal bleeding, including cerebral arteriovenous malformations, associated with vascular malformations.
- Development of in vivo or in vitro models of vascular malformations for the purpose of identifying novel and/or innovative drug targets, screening existing drugs, and/or elucidating the pathogenesis of the disease.

### Women's Heart Disease

- Identification of gender-specific approaches to either develop novel diagnostics and treatments or increase the effectiveness of current practice to improve clinical care of women.
- Research on factors to predict and prevent the long-term impacts of gestational diabetes, gestational hypertension, and preeclampsia on the cardiovascular health of women.
- Research on trauma-induced cardiac arrest secondary to hemorrhage and polytrauma in the female population.
- Research focused on elucidating the potential relationship between post-traumatic stress disorder and women's heart disease.
- Studies to determine the risk and incidence of heart disease among current and/or former female Service members.

### APPENDIX 3: DOD AND VA WEBSITES

PIs are encouraged to integrate and/or align their research projects with DoD and/or VA research laboratories and programs. Collaboration with DoD or VA investigators is also encouraged. Below is a list of websites that may be useful in identifying additional information about DoD and VA areas of research interest, ongoing research or potential opportunities for collaboration within the FY18 PRMRP Topic Areas.

Air Force Office of Scientific Research <a href="http://www.wpafb.af.mil/afrl/afosr/">http://www.wpafb.af.mil/afrl/afosr/</a>

Air Force Research Laboratory <a href="http://www.wpafb.af.mil/afrl">http://www.wpafb.af.mil/afrl</a>

Armed Forces Radiobiology Research Institute http://www.usuhs.edu/afrri/

Clinical and Rehabilitative Medicine

Research Program

https://crmrp.amedd.army.mil

Combat Casualty Care Research Program

https://ccc.amedd.army.mil

Congressionally Directed Medical

Research Programs <a href="http://cdmrp.army.mil">http://cdmrp.army.mil</a>

Defense Advanced Research Projects Agency

http://www.darpa.mil/

Defense Technical Information Center

http://www.dtic.mil

Defense Threat Reduction Agency

http://www.dtra.mil/

Military Health System Research Symposium <a href="https://mhsrs.amedd.army.mil/SitePages/Home.aspx">https://mhsrs.amedd.army.mil/SitePages/Home.aspx</a>

Military Infectious Diseases Research Program https://midrp.amedd.army.mil

Military Operational Medicine Research

Program

https://momrp.amedd.army.mil

Naval Health Research Center

http://www.med.navy.mil/sites/nhrc

Navy and Marine Corps Public Health Center http://www.nmcphc.med.navy.mil/

Office of Naval Research http://www.med.navy.mil/

Office of the Under Secretary of Defense for Acquisition, Technology and Logistics http://www.acq.osd.mil/

Telemedicine and Advanced Technology

Research Center http://www.tatrc.org/

Uniformed Services University of the

Health Sciences

http://www.usuhs.edu/research

U.S. Army Institute of Surgical Research <a href="http://www.usaisr.amedd.army.mil/">http://www.usaisr.amedd.army.mil/</a>

U.S. Army Medical Materiel Development Activity

http://www.usammda.army.mil/

U.S. Army Medical Research and Materiel Command

http://mrmc.amedd.army.mil

U.S. Army Medical Research Institute of Infectious Diseases

http://www.usamriid.army.mil/

U.S. Army Research Institute of

**Environmental Medicine** 

http://www.usariem.army.mil/

U.S. Army Research Laboratory

http://www.arl.army.mil

U.S. Department of Defense Blast Injury Research Program

https://blastinjuryresearch.amedd.army.mil/

U.S. Department of Veterans Affairs, Office of Research and Development

http://www.research.va.gov

U.S. Naval Research Laboratory

http://www.nrl.navy.mil

Walter Reed Army Institute of Research <a href="http://www.wrair.army.mil/">http://www.wrair.army.mil/</a>